

**BEFORE THE DENTAL BOARD OF THE STATE OF IOWA**

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**IN THE MATTER OF THE STATEMENT OF CHARGES AGAINST**

**CAROL A. HALLORAN, D.D.S., RESPONDENT**

**WATERLOO, IOWA**

**#17-0163**

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**STATEMENT OF CHARGES, SETTLEMENT AGREEMENT and FINAL ORDER**

**(combined)**

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**COMES NOW** the Iowa Dental Board (the Board), and Carol A. Halloran, D.D.S. (Respondent), on June 8<sup>th</sup>, 2018, and pursuant to Iowa Code sections 17A.10(2) and 272C.3(4), enter into the following combined Statement of Charges, Settlement Agreement and Final Order.

**NOTICE OF HEARING**

1. A hearing on this matter will not be held as the Board and Respondent have entered into the following combined Statement of Charges, Settlement Agreement and Final Order.
2. Respondent was issued license number 07465 to practice dentistry in the state of Iowa on July 20, 1990.
3. Respondent's Iowa dental license is current and will expire on August 31, 2018.

## **LEGAL AUTHORITY AND JURISDICTION**

4. The Board has jurisdiction in this matter pursuant to Iowa Code chapters 147, 153 and 272C.

## **STATEMENT OF CHARGES**

### **SECTIONS OF STATUTES AND RULES INVOLVED**

#### **COUNT I**

5. Respondent is charged under Iowa Code section 153.34(4) (2017) and 650 Iowa Administrative Code rule 30.4(22) with employing or permitting a person with a lapsed registration to practice dental assisting.

### **STATEMENT OF MATTERS ASSERTED**

6. Iowa law requires that a person engaged in the practice of dental assisting possess a valid registration. Failure to renew a registration prior to expiration shall cause the registration to lapse and become invalid pursuant to 650 Iowa Administrative Code rule 14.5(2). An assistant whose registration has lapsed is prohibited from practice.
7. Respondent allowed a person with a lapsed registration to perform dental assisting duties from approximately November 1, 2017 to November 17, 2017.

### **SETTLEMENT AGREEMENT**

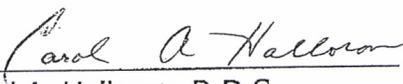
**THEREFORE, IT IS HEREBY ORDERED** that Respondent is hereby **CITED** for employing or permitting a person with a lapsed registration to practice dental assisting and **WARNED** that future violations may result in further disciplinary action.

8. Respondent agrees to submit a civil penalty in the amount of five hundred dollars (\$500.00) to the Iowa Dental Board within sixty (60) days of the date of this Order made payable to Treasurer, State of Iowa.

**FINAL ORDER**

9. This combined Statement of Charges, Settlement Agreement and Final Order constitutes the resolution of a contested case proceeding.
10. By entering into this combined Statement of Charges, Settlement Agreement and Final Order, Respondent voluntarily waives any rights to a contested case hearing and waives any objections to the terms of this Settlement Agreement.
11. Respondent understands that by entering into this combined Statement of Charges, Settlement Agreement and Final Order, she cannot obtain a copy of the investigative file. Under Iowa Code section 272C.6(4), a copy of the investigative file may only be provided to a licensee after a Statement of Charges is filed but before the final resolution of those charges.
12. This combined Statement of Charges, Settlement Agreement and Final Order, is voluntarily submitted by Respondent to the Board for consideration.
13. Respondent acknowledges that she has the right to be represented by counsel in this matter.
14. This combined Statement of Charges, Settlement Agreement and Final Order becomes public record available for inspection and copying upon execution of this agreement in accordance with the requirements of Iowa Code Chapters 17, 22 and 272C.

15. Respondent understands that the Board will report this Order to the National Practitioner Data Bank.
16. Respondent agrees that the State's counsel may present this Order to the Board and may have *ex parte* communications with the Board while presenting it.
17. Respondent acknowledges that no member of the Board, nor any employee, nor attorney for the Board, has coerced, intimidated, or pressured her, in any way whatsoever, to execute this Order.
18. This combined Statement of Charges, Settlement Agreement and Final Order is subject to approval of the Board. If the Board fails to approve this combined Statement of Charges, Settlement Agreement and Final Order, it shall be of no force or effect to either party.
19. The Board's approval of this combined Statement of Charges, Settlement Agreement and Final Order shall constitute a **Final Order** of the Board.

  
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Carol A. Halloran, D.D.S.  
Respondent

This combined Statement of Charges, Settlement Agreement and Final Order is approved by the Board on June 8<sup>th</sup>, 2018.

*Steven P. Bradley* 005

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STEVEN P. BRADLEY, D.D.S.  
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