



# STATE OF IOWA

## IOWA DENTAL BOARD

TERRY E. BRANSTAD, GOVERNOR  
KIM REYNOLDS, LT. GOVERNOR

JILL STUECKER  
EXECUTIVE DIRECTOR

### IOWA DENTAL BOARD

#### AGENDA

January 28-29, 2016

**Location:** Iowa Dental Board, 400 SW 8<sup>th</sup> St., Suite D, Des Moines, Iowa

**Members:** *Steve Bradley, D.D.S., Board Chair; Steven Fuller, D.D.S., Board Vice Chair; Kaaren Vargas, D.D.S., Board Secretary; Tom Jeneary, D.D.S.; William McBride, D.D.S.; Mary Kelly, R.D.H.; Nancy Slach, R.D.H.; Diane Meier, Public Member; Lori Elmitt, Public Member*

#### Thursday, January 28, 2016

#### COMMITTEE MEETINGS:

9:00 A.M. **DENTAL HYGIENE COMMITTEE**  
*(See separate committee agendas)*

10:30 A.M. **EXECUTIVE COMMITTEE**

11:30 A.M. **BOARD MEETING:**

#### OPEN SESSION

- |  |  |
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| <b>I. CALL MEETING TO ORDER – ROLL CALL</b>  | <i>Steven Bradley</i>                                |
| <b>II. 1<sup>st</sup> OPPORTUNITY FOR PUBLIC COMMENT</b>   | <i>Steven Bradley</i>                                |
| <b>III. APPROVAL OF OPEN SESSION MINUTES</b>   | <i>Steven Bradley</i>                                |
| a. October 22, 2015 – Quarterly Meeting  |  |
| b. December 3, 2015 – Teleconference Meeting   |  |
| <b>IV. Presentation on Level 2 Expanded Function Curriculum<br/>Presented by The University of Iowa College of Dentistry</b> | <i>Patricia Meredith, DDS, MS<br/>Tad Mabry, DDS</i> |
| a. Vote on Approval of Course  |  |
| <b>V. REPORTS</b>  |  |
| <b>A. EXECUTIVE DIRECTOR’S REPORT</b>  | <i>Jill Stuecker</i>                                 |
| <b>B. BUDGET REPORT</b>  | <i>Jill Stuecker</i>                                 |
| <b>C. LEGAL REPORT</b>   | <i>Sara Scott</i>                                    |

- D. ANESTHESIA CREDENTIALS COMMITTEE REPORT** *Kaaren Vargas*
- a. Actions Taken by the Committee on General Anesthesia & Moderate Sedation Permit Applications
  - b. Other Committee Recommendations, if any
- E. CONTINUING EDUCATION ADVISORY COMMITTEE REPORT** *Lori Elmitt*
- a. Vote on Recommendations: RE: Continuing Education Course Applications
  - b. Vote on Recommendations: RE: Continuing Education Sponsor Applications
  - c. Other Committee Recommendations, if any
- F. EXECUTIVE COMMITTEE REPORT** *Steven Bradley*
- a. Committee Update
- G. LICENSURE/REGISTRATION COMMITTEE REPORT** *Kaaren Vargas*
- (Pursuant to Iowa Code § 21.5(1)(a) some licensure/registration information is required by state or federal law to be kept confidential).
- a. Recommendations by the Committee on Applications
    - 1. Kayla Hewitt, Dental Assistant
    - 2. Shawn Leonard, Dental Assistant
- H. DENTAL HYGIENE COMMITTEE REPORT** *Mary Kelly*
- a. Pending Dental Hygiene Applications (Pursuant to Iowa Code § 21.5(1)(a) some licensure/registration information is required by state or federal law to be kept confidential).
  - b. Actions Taken at the Dental Hygiene Committee Meeting for Board Ratification
    - i. Definition of Ongoing Practice in the Administration of Local Anesthesia in Another State
  - c. Other Committee Recommendations, if any
    - i. Final and Adopted – Iowa Administrative Code 650 – Chapter 13, Retired Volunteer Dentist and Dental Hygiene License
    - ii. Notice of Intended Action – Iowa Administrative Code 650 – Chapter 10, Students Enrolled in Dental Hygiene Programs
    - iii. Rule Waiver Request – SuzAnne Mahrt, RDH – IAC 650.10.4 “Unauthorized practice of a dental hygienist”
    - iv. IDHA Bill
- I. DENTAL ASSISTANT REGISTRATION COMMITTEE** *Steven Bradley*
- a. Committee Update
  - b. Other Committee Recommendations, if any

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**J. EXAMINATIONS REPORTS – CRDTS (CENTRAL REGIONAL DENTAL TESTING SERVICE) –**

- a. CRDTS – Dental Steering Committee Report *Steven Bradley*
- b. CRDTS – Dental Hygiene Examination Review Committee Report *Mary Kelly*
- c. CRDTS – Dental Examination Review Committee Report *Kaaren Vargas*

**K. IOWA PRACTITIONER REVIEW COMMITTEE REPORT** *Jill Stuecker*

- a. Quarterly Update

**L. EXPANDED FUNCTION COMMITTEE REPORT** *Nancy Slach*

- a. Vote on Recommendations RE: Expanded Functions Course Applications
- b. Other Committee Recommendations, If Any

**VI. ADMINISTRATIVE RULES/ADMINISTRATIVE RULE WAIVERS**

- a. Vote on Adopted and Final – Iowa Administrative Code *Phil McCollum*  
650 – Chapter 13, Retired Volunteer Dentist and Dental Hygiene License
- b. Vote on Notice of Intended Action – Iowa Administrative Code  
650– Chapter 10, “Students Enrolled in Dental Hygiene Programs”
- c. Vote on Notice of Intended Action – Iowa Administrative Code  
650– Chapter 20, “Students Enrolled in Dental Assisting Programs”
- d. Vote on Notice of Intended Action – Iowa Administrative Code  
650– Chapter 27, “Standards of Practice and Principles of Professional Ethics”
- e. Discuss Next Rulemaking Priority
- f. Rule Waiver Request - Axel Ruprecht, DDS - IAC 650.11.2(2)d-e, *Christel Braness*  
“Dental licensure by examination”
- g. Rule Waiver Request – SuzAnne Mahrt, RDH – IAC 650.10.4 *Jill Stuecker*  
“Unauthorized practice of a dental hygienist” ”

**VII. LEGISLATIVE UPDATES** *Jill Stuecker*

- a. Discussion and Vote on IDHA Bill *Tom Cope*

**VIII. OTHER BUSINESS** *Jill Stuecker*

- a. Nancy Slach Report on CODA Site Visit
- b. Set Date for Future Board Teleconference

**IX. APPLICATIONS FOR LICENSURE/REGISTRATION** *Christel Braness*

**& OTHER REQUESTS** (Pursuant to Iowa Code § 21.5(1)(a) some licensure/registration information is required by state or federal law to be kept confidential).

- a. Ratification of Actions Taken on Applications Since Last Meeting

**X. 2<sup>nd</sup> OPPORTUNITY FOR PUBLIC COMMENT** *Steven Bradley*

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## CLOSED SESSION AGENDA

The Board will address the following closed session agenda items after the conclusion of the open session. Closed session may resume on Friday, January 29, 2016 after an Administrative Hearing.

### **I. ITEMS FOR REVIEW AND DISCUSSION**

- a. **Closed Session Minutes** (Closed session pursuant to Iowa Code § 21.5(1)(a) “to review or discuss records which are required or authorized by state or federal law to be kept confidential...”, specifically to review or discuss information that is confidential under Iowa Code § 21.5(4)).
- b. **Settlement Agreement and Final Orders** (Closed session pursuant to Iowa code § 21.5(1)(f) to discuss the decision to be rendered in a contested case).
- c. **Combined Statement of Charges, Settlement Agreement and Final Order** (Closed session pursuant to Iowa Code § 21.5(1)(d) to discuss whether to initiate licensee disciplinary investigations or proceedings, and Iowa code § 21.5(1)(f) to discuss the decision to be rendered in a contested case).
- d. **Notice of Hearing and Statement of Charges** (Closed session pursuant to Iowa Code § 21.5(1)(d) to discuss whether to initiate licensee disciplinary investigations or proceedings).
- e. **Investigative Reports** (Closed session pursuant to Iowa Code § 21.5(1)(d) to discuss whether to initiate licensee disciplinary investigations or proceedings and pursuant to Iowa Code § 21.5(1)(a) to review or discuss records which are required or authorized by state or federal law to be kept confidential, specifically information that is confidential under Iowa Code § 272C.6(4)).
- f. **New Complaints** (Closed session pursuant to Iowa Code § 21.5(1)(d) to discuss whether to initiate licensee disciplinary investigations or proceedings and pursuant to Iowa Code § 21.5(1)(a) to review or discuss records which are required or authorized by state or federal law to be kept confidential, specifically information that is confidential under Iowa Code § 272C.6(4)).
- g. **Additional Information on Previous Complaints** (Closed session pursuant to Iowa Code § 21.5(1)(d) to discuss whether to initiate licensee disciplinary investigations or proceedings and pursuant to Iowa Code § 21.5(1)(a) to review or discuss records which are required or authorized by state or federal law to be kept confidential, specifically information that is confidential under Iowa Code § 272C.6(4)).
- h. **Application for Licensure/Registration** (Closed session pursuant to Iowa Code § 21.5(1)(a) to review information required by state or federal law to be kept confidential).
- i. **Hygiene Committee (Disciplinary Only)** (Closed session pursuant to Iowa Code § 21.5(1)(a) to review information required by state or federal law to be kept confidential, specifically Iowa Code § 272C.6(4) and Iowa Code § 21.5(1)(d) to discuss whether to initiate licensee disciplinary investigations or proceedings).
- j. **Request to Modify Board Orders** (Closed session pursuant to Iowa Code § 21.5(1)(a) to review information required by state or federal law to be kept confidential).
- k. **Compliance with Board Orders** (Closed session pursuant to Iowa Code § 21.5(1)(a) to review information required by state or federal law to be kept confidential, specifically Iowa Code § 272C.6(4) and Iowa Code § 21.5(1)(d) to discuss whether to initiate licensee disciplinary investigations or proceedings).

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## **OPEN SESSION**

### **I. ACTION, IF ANY, ON CLOSED SESSION AGENDA ITEMS**

- a. Closed Session Minutes
- b. Settlement Agreement and Final Orders
- c. Combined Statement of Charges and Settlement Agreement
- d. Notice of Hearing and Statement of Charges
- e. Investigative Reports
- f. New Complaints
- g. Additional Information on Previous Complaints
- h. Application for Licensure/Registration
- i. Hygiene Committee Recommendations (Disciplinary Only)
- j. Request to Modify Board Orders
- k. Compliance with Board Orders

### **Friday, January 29, 2016**

8:30 a.m.

- ### **II. DISCIPLINARY HEARING IN THE MATTER OF JAY BUCKLEY, DDS** (Pursuant to Iowa Code § 272C.6(1), a licensee may request that their disciplinary hearing be held in closed session. Pursuant to Iowa Code § 21.5(1)(f) Board deliberation in a contested case will be held in closed session).

### **III. CONTINUE WITH CLOSED SESSION ITEMS AS NEEDED**

### **IV. ADJOURN**

**NEXT QUARTERLY MEETING: APRIL 28-29, 2016**

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### IOWA DENTAL BOARD

#### MINUTES

October 22-23, 2015  
Conference Room  
400 S.W. 8<sup>th</sup> St., Suite D  
Des Moines, Iowa

#### **Board Members**

Steven Bradley, D.D.S.,  
Steven C. Fuller, D.D.S.  
Kaaren G. Vargas, D.D.S.  
Thomas M. Jeneary, D.D.S.  
William G. McBride, D.D.S.  
Mary C. Kelly, R.D.H.  
Nancy A. Slach, R.D.H.  
Diane Meier, Public Member  
Lori Elmitt, Public Member

#### **October 23, 2015**

Present  
Absent  
Present  
Present  
Present  
Present  
Present  
Present  
Present

#### **October 24, 2015**

Present  
Absent  
Present  
Present  
Present  
Present  
Present  
Present  
Present

#### **Staff Members**

Jill Stuecker, Phil McCollum, Christel Braness, Brian Sedars, Dee Ann Argo, Janet Arjes

#### **Attorney General's Office**

Sara Scott, Assistant Attorney General

#### **Other Attendees**

Carol Van Aernam, R.D.H., Iowa Dental Hygienists' Association  
Jane Slach, R.D.A. Iowa Dental Assistants Association  
Francisco Olalde, University of Iowa, Office of Statewide Clinical Education Programs  
Barb Blough, Iowa Dental Association  
Lynh Patterson, Delta Dental of Iowa  
Barb Nichol, Scott Community College  
Tom Cope, Iowa Dental Hygienists' Association  
Barbara Votrain, Vatterott College  
Jeff Chaffin, D.D.S., Delta Dental of Iowa  
Stephen Thies, Iowa Academy of General Dentistry  
Charlotte Eby, LS2 Group  
Terri Deal, Des Moines Area Community College  
Janae Cavanagh, Kirkwood Community College  
Pam Hanson, Kirkwood Community College

Mark Markham, D.D.S., Midwest Dental, Atlantic  
 Jackie Krueger, Western Iowa Technical Community College  
 Emily Boge, R.D.H., Hawkeye Community College  
 Barb Niche

**I. CALL TO ORDER FOR JULY 23, 2015**

Dr. Bradley called the open session meeting of the Iowa Dental Board to order at 1:01 p.m. on Thursday, October 22, 2015.

Dr. Bradley noted that the legislative priorities will be discussed earlier in the meeting, rather than in order listed in the agenda.

Roll Call:

<u>Member</u>	<u>Bradley</u>	<u>Elmitt</u>	<u>Fuller</u>	<u>Jeneary</u>	<u>Kelly</u>	<u>McBride</u>	<u>Meier</u>	<u>Slach</u>	<u>Vargas</u>
Present	x	x		x	x	x	x	x	x
Absent			x						

A quorum was established with eight (8) members present.

**II. 1<sup>st</sup> OPPORTUNITY FOR PUBLIC COMMENT**

Dr. Bradley asked everyone to introduce themselves. Dr. Bradley allowed the opportunity for public comment.

Ms. Boge, Hawkeye Community College, asked to confirm that the Board members had received her letter addressing the supervision issues in educational programs. Ms. Stuecker confirmed its receipt.

**III. APPROVAL OF OPEN SESSION MINUTES**

- *July 23, 2015 – Quarterly Meeting Minutes*
- ❖ MOVED by KELLY, SECONDED by JENEARY, to APPROVE the open session minutes as submitted. Motion APPROVED unanimously.
- *August 13, 2015 – Teleconference Meeting Minutes*
- ❖ MOVED by KELLY, SECONDED by VARGAS, to APPROVE the open session minutes as submitted. Motion APPROVED unanimously.

**IV. REPORTS**

## EXECUTIVE DIRECTOR'S REPORT

Ms. Stuecker provided some renewal data for the current cycle. Effective November 1, 2015, licenses, permits, registrations and qualifications, which have not been renewed will lapse and become invalid for practice.

Ms. Stuecker reported that more people renewed on paper this renewal year, as compared to two years ago. This was a concern given the increase in staff time required to process these renewals. Ms. Stuecker elaborated on some of the concerns related to this. The Board may need to consider changes to manage this if the trend continues going forward. Ms. Stuecker stated that she was open to suggestions.

Dr. Vargas asked if there were a way to completely eliminate paper as an option. Ms. Stuecker stated that there are options to consider; though, the elimination of paper would require a rule change. Dr. McBride suggested creating incentives to encourage practitioners to renew online.

Ms. Stuecker provided some information about some changes on which staff is working. Ms. Stuecker hoped to provide an update about the upgrades to the Board's website; however, to date, she has not gotten a sufficient response from the vendor, who will be assisting with this. Ms. Stuecker saw this as an opportunity to review the Board's website and forms, which require some updates. Ms. Stuecker will provide an update at the January 2016 meeting.

Ms. Stuecker reported that the Board will be upgrading the licensure database system. Staff believed there should be additional functionality with the upgrade. Mr. McCollum will report more about the upgrades at the meeting in January 2016.

Ms. Stuecker indicated that Mr. McCollum has also been working on preparing the database for the new retired volunteer licenses. This was discussed again later in the meeting.

Ms. Stuecker reported that she will be attending the American Association of Dental Boards (AADB) and American Association of Dental Administrators (AADA) mid-year meetings in Washington D.C. Ms. Stuecker will report on this at the January 2016 meeting.

Ms. Stuecker reported that, over the last quarter, she has met with a number of stakeholder groups. In particular, Ms. Stuecker met with the Dental Assistant Educators Council to discuss the concerns related to supervision within dental assisting programs. Ms. Stuecker stated that this issue would be discussed again later in the meeting.

Ms. Stuecker reported that she participated in the IMOM program in September 2015. Ms. Stuecker was glad to have been able to participate in the program.

Ms. Stuecker reported that Mr. Sedars will be leaving the Iowa Dental Board to begin a new job elsewhere. Mr. Sedars stated that he was grateful for his time at the Board. Ms. Stuecker thanked Mr. Sedars for his service at the Iowa Dental Board.

Ms. Stuecker reported that she has started the process to fill Mr. Sedars' position, and has asked that it be expedited; however, she is uncertain how long this may take. Ms. Stuecker asked for everyone's patience while staff works through this.

## BUDGET REPORT

- *Review of FY2016 Budget*

Ms. Stuecker reported that the Board was provided a copy of the financial status report for the first quarter of FY2016. Ms. Stuecker provided an explanation of expenses to date. Overall, expenditures were on target.

- *Annual Fee Review*

Ms. Stuecker reported that the Board is required to conduct an annual fee review each year. Ms. Stuecker distributed a copy of the current fee structure for review. Ms. Stuecker stated that the Board has sufficient funds to get through the current fiscal year. Ms. Stuecker reported that fees were last increased approximately 10 years ago.

❖ MOVED by MEIER, SECONDED by VARGAS, to APPROVE the review of fees as discussed. Motion APPROVED unanimously.

## LEGAL REPORT

Ms. Scott reported that she wanted to provide a brief overview of the rulemaking process as a part of ongoing Board training. Since rulemaking is such a critical role of the Board, Ms. Scott wanted to go over the information related to this process. Iowa law authorizes the Board to write rules to address various regulations and concerns. Having said that, there are processes with which the Board must comply when considering rulemaking, and ensure that administrative rules are in compliance with applicable statutes.

Iowa Code Section 17A establishes the requirements for rulemaking. The Board must provide notice to the public of the intent to implement rulemaking; and must also allow a public comment period. If this procedure is not followed, the rule can be contested. The rule can also be challenged if the substance of the rule is not in line with the law.

Although minor changes are allowed after a Notice of Intended Action is approved by the Board, the scope of the changes needs to be limited, and a clear explanation for the changes provided. If the changes are substantial, the rulemaking process should be started over with a new Notice of Intended Action prior to the adoption of the proposed rule changes.

Ms. Scott reported that there is an opportunity for emergency adoption of proposed rulemaking. In general, the use of emergency adoption is discouraged because it minimizes the ability of the public to comment on the proposed changes. There are occasionally situations, however, where emergency adoption of proposed rules would be required.

Rule changes are reviewed by the Administrative Rules Committee and the Governor's Office. These mechanisms are in place to provide oversight. For example, the Governor's Office can rescind rules after they are effective if deemed unacceptable.

#### ANESTHESIA CREDENTIALS COMMITTEE REPORT

Dr. Vargas reported that the Anesthesia Credentials Committee met recently to review and consider applications for general anesthesia and moderate sedation permits, which were approved for issuance.

#### CONTINUING EDUCATION ADVISORY COMMITTEE REPORT

- *Recommendations RE: Continuing Education Course Applications*
- *Recommendations RE: Continuing Education Sponsor Application(s)*

Ms. Elmitt reported that the Continuing Education Advisory Committee met recently. Ms. Elmitt provided an overview of the committee's recommendations.

- ❖ MOVED by ELMITT, SECONDED by MEIER, to APPROVE the committee's recommendations as submitted. Motion APPROVED unanimously.
- *Other Committee Recommendations, If Any*

The rulemaking recommendations will be discussed later in the meeting with the other rulemaking recommendations for consideration.

#### EXECUTIVE COMMITTEE REPORT

Dr. Bradley reported that the committee met earlier that morning.

Dr. Bradley indicated that the Skilled Care Task Force will meet two more times and report on its recommendations. The committee will be disbanded upon the reporting of its recommendations to the Board.

Ms. Kelly stated that there is one task force meeting scheduled. The second meeting will be scheduled in the future.

#### LICENSURE/REGISTRATION COMMITTEE REPORT

- *Actions Taken by Committee on Applications*

Dr. Vargas provided an overview of the applications reviewed and actions taken by the committee since the last quarterly Board meeting. A list of actions taken by the committee was included in the Board members' folders.

- *Abigail Monson, Dental Assistant*

Dr. Vargas reported that the Licensure/Registration Committee has recommended denial of an application for registration from Ms. Monson based on her prior criminal history. Dr. Vargas provided an overview of the application, including the issues of concern. Dr. Vargas wanted to see more information prior to granting her a registration.

Dr. Jeneary agreed with Dr. Vargas. Dr. Jeneary did not see sufficient objective information demonstrating change. Dr. Jeneary would like to see an objective opinion about her current status.

Ms. Scott stated that the committee may want to request additional information prior to voting to deny the registration. Ms. Scott reported that if the Board were to vote to deny the registration, Ms. Monson would receive a Notice of Intent to Deny. Ms. Monson would have the option to request a hearing, which would be public since she is not a licensee. If Ms. Monson were not to appeal this, the denial would become final after 30 days.

Ms. Scott stated that the Board can request additional information prior to moving towards a denial. Dr. Vargas indicated that she was not aware of this option. The Board members agreed to request additional information regarding Ms. Monson's current status.

- *Melissa Still, Dental Assistant*

Ms. Stuecker reported that Ms. Still's application no longer required discussion and review by the Board.

#### DENTAL HYGIENE COMMITTEE REPORT

- *Pending Dental Hygiene Applications*

There weren't any dental hygiene applications for review.

- *Actions Taken at Dental Hygiene Committee Meeting*

Ms. Kelly reported that the committee voted to recommend approval of the Notice of Intended Action as drafted.

Ms. Kelly provided an overview of a request for approval of nitrous oxide courses. The Dental Hygiene Committee recommended approval for a nitrous oxide course offered at Parkland College.

- ❖ **MOVED** by KELLY, **SECONDED** by MCBRIDE, to **APPROVE** the nitrous oxide course through Parkland College, which included a clinical component. Motion **APPROVED** unanimously.

Ms. Kelly also reported on a request from Ms. Sheets about how to proceed with licensure.

- *Other Committee Recommendations, If Any*

There weren't any other recommendations.

## DENTAL ASSISTANT REGISTRATION COMMITTEE REPORT

- *Committee Update*

Dr. Bradley stated that there was nothing new to report.

## EXAMINATIONS REPORT

- *CRDTS – Dental Steering Committee Report*

Dr. Bradley reported that the biggest discussion was about the University of Iowa College of Dentistry, as well as other colleges across the nation, that is attempting to remove the use of patients from clinical examinations. This was discussed again later in the meeting.

- *CRDTS – Dental Hygiene Examination Review Committee Report*

Ms. Kelly reported that there was nothing new to report.

- *CRDTS – Dental Examinations Review Committee Report*

Dr. Vargas reported that she was unable to attend the most recent meeting, and had nothing new to report.

## QUARTERLY IPRC REPORT

Mr. Sedars provided an overview of the Iowa Practitioner Review Committee (IPRC) program. Mr. Sedars also provided an overview of the current IPRC data.

## EXPANDED FUNCTIONS COMMITTEE REPORT

- *Vote on Recommendations: RE: Expanded Functions Course Applications*
- *Other Committee Recommendations, If Any*

Ms. Slach reported that the committee met by teleconference to review three separate requests. After discussion and feedback, the committee recommended approval of the courses. A copy of the recommendations have been included in the Board folders. Ms. Slach provided an overview of the recommendations.

❖ **MOVED** by SLACH, **SECONDED** by KELLY, to **APPROVE** the committee's recommendations.

Ms. Slach stated that the Board may need to discuss the issue of hand instrumentation and what should be included in expanded functions training courses. Dr. Tesene, an expanded functions training provider, agreed to remove reference to the use of a surgical tool from one of his courses.

❖ Vote taken. Motion APPROVED unanimously.

## VI. LEGISLATIVE UPDATES

- The agenda items for legislative updates were moved ahead for discussion since an interested party needed to leave early.

### DISCUSSION AND VOTE OF BOARD LEGISLATIVE PRIORITIES FOR 2016

#### ▪ *DSO Legislation*

Ms. Stuecker reported that the Board was approached by the Association of Dental Support Organizations in the spring about partnering on legislation which would address dental support organizations (DSO). There have been a number of meetings to discuss the proposed legislation and the Board has had significant input into the final document. The proposal intended to provide more clarity regarding rights and responsibilities within a DSO supported office. The Executive Committee saw value in the proposed legislation, and saw no reason to oppose it.

Ms. Stuecker indicated that Board members had a copy of the most recent draft of the proposed legislation. The recent changes were marked in red. Ms. Stuecker provided an overview of the proposed legislation. The breakdown of responsibilities between a licensee and the business entity was noted as helpful. One concern flagged by the committee was the lack of enforcement on the business side. While licensees are held accountable by the Board, there is no entity responsible for holding the business side accountable.

The Board has been asked to sponsor the legislation. While the Executive Committee was not opposed to the proposal, the committee was not in favor of co-sponsoring the bill. The Board members felt that it would be best for the DSO lobbyist to sponsor the legislation.

Ms. Stuecker stated that this will need to be voted on when an actual bill is introduced.

#### *CRDTS*

Ms. Stuecker reported on the request from the University of Iowa College of Dentistry to eliminate testing on patients beginning in 2016. Ms. Stuecker thought that the Board should vote on this issue today. Ms. Stuecker believed that this issue may go before the legislature.

Ms. Stuecker stated that there were discussions with the University of Iowa College of Dentistry about their concerns. The Board has proposed alternatives to their request, including use of the “Buffalo model,” which integrates testing into the dental clinic at the college of dentistry. This eliminates most of the issues outlined by the University. The University of Iowa College of Dentistry was not receptive to this solution.

Dr. Bradley reported on his discussions about this issue with the University of Iowa College of Dentistry. Initially, the College of Dentistry expressed some interest in the “Buffalo model.” After speaking with the University of Buffalo, the University of Iowa College of Dentistry discovered

that failure rates had increased after switching to this method of testing. After discovering this, the University of Iowa College of Dentistry rejected the idea. The College of Dentistry has indicated that they may pursue legislation to prohibit patient-based examinations in Iowa.

- ❖ MOVED by BRADLEY, SECONDED by JENEARY, to SUPPORT the Board's current standards and positions for nationally-recognized regional examinations that we use, which is CRDTS. These are evidence based and tested, and offered in every state. The passage of such examinations affords candidates to work in the majority of the United States.

There was further discussion about the request from the University, including a recommendation to allow acceptance of WREB and to allow the College of Dentistry to determine which examination to offer. Iowa Administrative Code 650—Chapter 12 currently limits the examinations accepted for the purposes of licensure by examination.

The Board noted that WREB also utilizes a patient-based exam. All clinical examinations include components wherein testing is completed on patients.

- ❖ Vote taken. Motion APPROVED 7-1. Ms. Slach voted in opposition to the motion.

- *Discussion of IDHA Legislative Priorities*

Mr. Cope was asked to present the IDHA's legislative priorities for the upcoming legislative session. The IDHA will submit a proposal to allow dental hygienists to provide certain educational services and oral screenings without requiring supervision by a dentist. Mr. Cope reported that there is a group within the IDHA that cannot find a dentist to provide supervision, which prevents them from providing educational services and oral screenings to their communities. The IDHA believed that these two functions should not require supervision by a dentist. Mr. Cope provided an overview of the compromises reached after discussing the proposal with Board staff.

The IDHA intended to submit this for consideration during the upcoming legislative session. They would like the Board to offer their support for this proposal.

Ms. Kelly stated that this was also discussed in the Dental Hygiene Committee. Ms. Kelly provided a couple of examples of how the proposed legislation may affect some licensees. Ms. Kelly believed that this would be beneficial to the public.

Mr. McCollum stated that it would be beneficial to include the scope and intent into the proposed legislation to make it clearer. Mr. Cope stated that they would distribute an updated version of the language as soon as the changes were made. The IDHA was open to additional feedback.

Ms. Boge asked to comment on this matter. Ms. Boge stated that she provided similar services at local head start programs in the past; however, she can no longer provide these services as she does not currently have a public health supervision agreement.

- *Discussion of IDA Legislative Priorities*

Ms. Blough distributed a brochure outlining the Iowa Dental Association's legislative priorities. Ms. Stuecker asked to confirm that additional expanded functions were a priority. Ms. Blough confirmed that this was correct. The Iowa Dental Association's trustees were scheduled to meet October 30, 2015; therefore, the IDA's legislative priorities have not been finalized.

## V. ADMINISTRATIVE RULES/PETITIONS FOR RULE WAIVER

Mr. McCollum reported that the new rules for Iowa Administrative Code 650—Chapter 10 went into effect on October 21, 2015.

- *Vote on Notice of Intended Action – Iowa Administrative Code 650—Chapter 13, “Retired Volunteer Dentist and Dental Hygiene License*

Mr. McCollum reported that the Board members had before them a draft Notice of Intended Action to implement the provisions of House File 202. There have been a number of changes to the draft. The most recent version has been made available to the Board members and the public. Mr. McCollum provided an overview of the recent changes from the previous drafts.

- ❖ MOVED by ELMITT, SECONDED by VARGAS, to APPROVE the Notice of Intended Action as drafted. Motion APPROVED unanimously.
- *Discussion of Possible Draft Rules for CODA Approved Dental Education Programs*

Ms. Stuecker reported that this was discussed at the previous meeting of the Board. This arose out of a request for clarification of supervision requirements for educational programs. Under Iowa law, students must adhere to the same supervision requirements of a private practice setting. This was brought back for further discussion. Most notably, the issue was the matter of students performing work on each other. Ms. Stuecker provided an overview of the services students perform on one another.

CODA addresses a lot of these requirements from an educational perspective; however, there was discussion about some of the challenges in complying with current law, including the ramifications of finding a licensed dentist to provide supervision.

There was a proposal to pursue rulemaking to provide an exemption to educational programs to address these concerns. Mr. McCollum stated that he would put together a draft, and interested parties could respond with their comments. Primarily, the idea would be to allow an exemption for peer partnering with the exception of certain services, which require direct supervision in private practice, or for other services of concern. For example, nitrous oxide services would need to be done under direct supervision. Similar language would be implemented for dental assistant programs.

The educational instructors in attendance were, largely, in agreement with the general proposal. There was a question about dental radiography. Ms. Stuecker and Ms. Scott stated that CODA had sufficient requirements in place without requiring the Board to address this further in rule.

A number of Board members were in favor of this proposal.

Ms. Slach wondered if there should be clarification of supervision in dental programs to fully address this. There was some additional discussion about this; however, Mr. McCollum and Ms. Scott reported that there was a specific exemption for dental students in the Iowa Code. The same exemption does not exist for dental hygiene and dental assistants in statute.

Ms. Stuecker stated that the Board will consider a draft Notice of Intended Action in January 2016 to move forward on this issue.

- *Discuss Rulemaking Priorities for 2016*

Ms. Stuecker reported that she put together a list of potential rulemaking considerations. Given staff limitations, it will take some time for the Board to review and make decisions on all of them. Therefore, the Board needs to consider prioritizing certain items on the list.

Ms. Stuecker believed that the issue of supervision in educational settings was one of the highest priorities. Ms. Stuecker flagged her other recommendations for consideration. The Board should also consider issues related to the retirement or discontinuation of practice in chapter 27, and to update the rules regarding payment of fees, also in chapter 27. The rules regarding fees are confusing given the contradictory language regarding payment for services. The rules should allow prepayment of services in cases where patients wish to do so.

The Board agreed with Ms. Stuecker's recommendations for prioritization. Ms. Stuecker asked for input about other rulemaking priorities apart from what was discussed. The consideration of rulemaking for supervision and discontinuation of practice will be placed on the agenda for further discussion at the January 2016 meeting. The discussion about fees will be ongoing and will include input from stakeholders.

- *Rule Waiver Request – Axel Ruprecht, D.D.S. – Iowa Administrative Code 650—11.2(2)d-e, “Licensure to Practice Dentistry or Dental Hygiene”*

Ms. Braness provided an overview of the request. Dr. Ruprecht is a practitioner who has held a faculty permit at the University of Iowa College of Dentistry and practiced oral and maxillofacial radiology. Due to Dr. Ruprecht's dental education in Canada and specialty training, Dr. Ruprecht does not currently qualify for a dental license in Iowa. Dr. Ruprecht is asking the Board to accept the examinations, which he completed in Canada at the time he graduated from dental school, as an acceptable basis for licensure by examination.

Ms. Scott commented on the limitations of the Iowa Code provision based on application by credentials and examinations. This request was not entirely straight forward. Ms. Scott believed that the examinations could be reviewed for acceptance; however, the Board may need more information prior to voting on the waiver request. The Board may want to request additional information, such as evidence of having successfully passed the examinations, prior to making a final decision on the waiver request.

Ms. Slach inquired about the information provided with Dr. Ruprecht's faculty permit application. Ms. Braness stated that faculty permit applications don't require proof of clinical or national board examinations; therefore, the basis of application vary between a dental license and faculty permit.

Although Dr. Ruprecht is respected, Dr. Vargas was concerned about the precedent that this would set for other specialists, who do not meet the requirements for licensure. Dr. Vargas stated that she was required to meet and comply with a number of additional requirements to obtain licensure in Iowa. Therefore, she is inclined to deny the rule waiver due to established precedent.

Ms. Braness reported that Dr. Ruprecht could opt to complete the CRDTS examination to become eligible for licensure on the basis of examination. In the past, Dr. Ruprecht has been reluctant to complete this examination since it would not be applicable to his practice of oral and maxillofacial radiology.

Ms. Slach asked if the Board could issue a restricted to license for the specialty practice. There was some discussion about whether to issue a restricted license. Ms. Braness provided an overview of the Stipulated License Agreement, which limited the practice of dentistry of a dentist, issued previously by the Board. The primary difference between the two cases is that Dr. Ruprecht does not currently meet the requirements for licensure. The dentist to whom the Stipulated License Agreement was issued, had met all requirements for a dental license; however, he had reported certain physical limitations to his ability to practice certain aspects of dentistry.

There was additional discussion about the issues related to this request.

Ms. Scott stated that the Board could not issue a specialty license. If a license were issued to him, it would be a dental license, which would legally allow him to practice the full range of dentistry.

After further discussion, the Board opted to request additional information prior to making a final decision on the rule waiver. Ms. Braness stated that she would make that request.

Dr. McBride asked about the limitations of specialty licensure since other states allow it.

- *Rule Waiver Request – Gregory Ceraso, D.D.S. – Iowa Administrative Code 650—29.5(1), “Permit Holders”*

Ms. Braness provided an overview of the request. Dr. Ceraso was previously granted a rule waiver of this rule to allow an anesthesiologist to provide the sedation in his facility and ensure that it was properly equipped. Dr. Ceraso requested permission to allow a nurse anesthetist to provide the sedation in lieu of the anesthesiologist when the anesthesiologist would be unavailable.

Board staff did not see a reason to deny the request provided the nurse anesthetist is legally licensed and allowed to perform the services under those circumstances.

- ❖ **MOVED** by KELLY, **SECONDED** by VARGAS, to **APPROVE** the waiver request as discussed. Motion **APPROVED** unanimously.

- The Board took a brief recess at 3:00 p.m.
- The Board reconvened at 3:16 p.m.

## **VII. OTHER BUSINESS**

- *Discussion of Interpretation of Urgent Need*

Ms. Stuecker reported that this request arose out a question from Complete Mobile Dentistry about the issue of urgent need as it related to temporary permits. Complete Mobile Dentistry is contracted to provide military personnel required examinations and dental services prior to deployment. They sometimes have difficulty staffing these events; and inquired about what “urgent need” means, and whether these types of events would qualify.

Ms. Stuecker believed that Complete Mobile Dentistry is interested in reduced paperwork or expedited processing. Ms. Brans addressed some of the differences in licensure types and the requirements for each.

There was some additional discussion related to whether these events would qualify for urgent need. Some of the Board members were not certain that this would qualify as an urgent need.

Dr. Chaffin, Delta Dental of Iowa, stated that these companies sometimes have difficulty recruiting locally; though, it is generally cheaper to recruit locally when possible. Dr. Chaffin stated that the military requires a dental examination once a year, and urgent dental needs must be taken care of prior to deployment.

Some Board members saw this as a service, which could be provided to military servicemen. After further discussion, the Board members agreed to count this as an urgent need. Ms. Slach recommended encouraging Complete Mobile Dentistry to recruit local dentists whenever possible.

- *Vote on Policy for Managing Continuing Education and Expanded Functions Courses*

Ms. Stuecker reported that there has been some ongoing discussion about this. Ms. Stuecker believed that as a general rule the Board should review and approve proposed policies prior to implementation. The other objective was to establish clear guidelines about what should be submitted for the review of these requests.

Ms. Stuecker provided an overview of the proposed requirements for submission and review. Of particular issue, was the matter of open records law. Generally speaking, records submitted to the Board are subject to open records requests. Although, the meeting materials would not be publicly disseminated, they may be subject to open records requests. If the Board were to receive an open records request for these materials, Board staff will attempt to notify affected parties.

Ms. Slach stated that PowerPoints and other detailed materials are helpful. Dr. McBride expressed concern about the potential volume of material to be reviewed. There was some further discussion

about how much information would be sufficient for the committee to complete review of a request.

Ms. Elmitt felt that the proposal was a good framework. Ms. Slach stated that additional information could be requested by the committee as needed.

❖ MOVED by ELMITT, SECONDED by JENEARY to ADOPT the policy as proposed. Motion APPROVED unanimously.

- *Discussion of Results of Renewal Survey*

Ms. Stuecker reported that she had sent out a survey to those who had renewed this year. Approximately 300-400 responded, which is a small percentage of the overall total. Ms. Stuecker hoped to get some more information about why some practitioners preferred to renew on paper versus renewing online. Ms. Stuecker would propose asking these questions again before implementing changes.

There were some discussions about proposed solutions including a proposal for the Board to absorb the cost of the credit card fees. The Board would need to review this further given the fiscal impact. There was also discussion about making paper renewals more expensive due to the additional staff time required to process them as a way to incentivize online renewal. Board members and staff also discussed how to make renewal and payment options more efficient within the structure of the current database.

Ms. Meier stated that some governmental agencies have eliminated the use of paper. Ms. Stuecker stated that the Board has to address this issue given the limited space to store physical records, particularly since the State Records Center is no longer accepting records for long term storage. This will need to be discussed and considered further.

- *Discussion of Letter from Dr. Dennis Higginbotham*

Ms. Stuecker reported that Dr. Higginbotham requested that Board consider changing the continuing education requirements in the areas of infection control and dental radiography for dental assistants. Currently, dental assistants must complete two hours in infection control every two years; those with qualifications in dental radiography, must complete two hours in the area of dental radiography every two years. Dr. Higginbotham has proposed changing the requirement to completing these continuing education courses once every five years.

Ms. Elmitt believed that these requirements are intended to protect the public. She understood his concerns; however, lack of attention during courses is a separate issue that cannot easily be corrected by a change in regulation.

Mr. McCollum recommended that infection control and jurisprudence courses be completed once every five years. Jurisprudence changes more frequently than infection control policies.

There was further discussion by the Board members regarding the intended reason for the courses and their value in the practice of dentistry. The Board agreed to leave the requirements as currently established and revisit at another time.

- *Discussion of Jurisprudence Examinations*

Ms. Stuecker reported that the Board was not expected to take action on this today. There are some concerns, which may need to be addressed by the Board. The Board may want to consider allowing licensees to test out of state, and allow open book examination, among other possible options. Ms. Stuecker was open to suggestions.

Ms. Slach agreed that this would be important to look at due to staff time involved correcting examinations.

- *Discussion of Strategic Planning*

Ms. Stuecker reported that she had previously found a company in California to work with the Board on its strategic planning. Unfortunately, the cost of the services would require the Board to seek bids prior to awarding an agreement for services. Given the requirements to seek outside services, Ms. Stuecker recommended working with the Department of Administrative Services (DAS) to assist the Board with this. The cost would be about \$4000, and the Board would work towards a three-year strategic plan. The planning would start small, and be expanded as needed.

The Board members were in agreement with the proposal to pursue these services through DAS.

- *Discussion of Need for Future Board Teleconference*

Ms. Stuecker stated that she would like to schedule a date for a teleconference in case Board business needed to be handled in advance of the January 2016 meeting. The meeting could be cancelled if it was not needed. Ms. Stuecker proposed scheduling a meeting on November 12, 2015 at 7:00 a.m. Dr. McBride stated that he would be travelling that day for CRDTS examination. The remaining Board members indicated that they should be available.

## **IX. APPLICATIONS FOR LICENSURE/REGISTRATION & OTHER REQUESTS**

- *Ratification of Actions Taken on Applications Since Last Meeting*

Mr. Braness reported that the Board was provided an updated list of actions taken in response to applications for license, registration, qualification, and permit.

- ❖ MOVED by ELMITT, SECONDED by KELLY, to APPROVE the list as submitted.  
Motion APPROVED unanimously.

- *Pending Licensure/Registration Applications, If Any*

The applications were discussed earlier in the meeting.

## **X. 2<sup>nd</sup> OPPORTUNITY FOR PUBLIC COMMENT**

Dr. Bradley allowed the opportunity for public comment.

There were not any comments received.

- The Board took a recess at 3:57 p.m.
- The Board reconvened at 4:07 p.m.

### **CLOSED SESSION**

- ❖ **MOVED** by BRADLEY, **SECONDED** by ELMITT, for the Board to go into closed session at 4:07 p.m., pursuant to:

Section 21.5(1)(h) for agenda item 1a “to avoid disclosure of specific law enforcement matters, such as allowable tolerances or criteria for the selection, prosecution, or settlement of cases, which if disclosed would facilitate disregard of requirements imposed by law”.

Section 21.5(1)(a) for agenda item 2a “to review or discuss records which are required or authorized by state or federal law to be kept confidential...”, specifically to review or discuss information that is confidential under Iowa Code § 21.5(4).

Section 21.5(1)(f) for agenda item 2b, to discuss the decision to be rendered in a contested case.

Section 21.5(1)(d) for agenda item 2c to discuss whether to initiate licensee disciplinary investigations or proceedings, and Iowa code § 21.5(1)(f) to discuss the decision to be rendered in a contested case.

Section 21.5(1)(d) for agenda items 2d, 2e, 2f and 2g to discuss whether to initiate licensee disciplinary investigations or proceedings and pursuant to Iowa Code § 21.5(1)(a) to review or discuss records which are required or authorized by state or federal law to be kept confidential, specifically information that is confidential under Iowa Code § 272C.6(4).

Section 21.5(1)(a) for agenda items 2h, 2i, 2j and 2k to review information required by state or federal law to be kept confidential, specifically Iowa Code § 272C.6(4) and Iowa Code § 21.5(1)(d) to discuss whether to initiate licensee disciplinary investigations or proceedings.

Section 21.5(1)(d) for agenda item 2l to discuss whether to initiate licensee disciplinary investigations or proceedings and pursuant to Iowa Code § 21.5(1)(a) to review or discuss records which are required or authorized by state or federal law to be kept confidential, specifically information that is confidential under Iowa Code § 272C.6(4).

<u>Member</u>	<u>Bradley</u>	<u>Elmitt</u>	<u>Fuller</u>	<u>Jeneary</u>	<u>Kelly</u>	<u>McBride</u>	<u>Meier</u>	<u>Slach</u>	<u>Vargas</u>
Aye	x	x		x	x	x	x	x	x
Nay									
Absent			x						

Motion APPROVED by ROLL CALL.

## **OPEN SESSION**

- ❖ MOVED by KELLY, SECONDED by VARGAS, to return to open session. Motion APPROVED unanimously.
- The Board reconvened in open session at 11:12 a.m. on October 23, 2015.

## **ACTION ON CLOSED SESSION ITEMS**

### *1. Closed Session Minutes*

- ❖ MOVED by MEIER, SECONDED by VARGAS, to approve the closed session minutes for the July 24, 2015 quarterly meeting. Motion APPROVED unanimously.
- ❖ MOVED by MEIER, SECONDED by VARGAS, to approve the closed session minutes for the August 31, 2015 quarterly meeting. Motion APPROVED unanimously.

### *2. Disciplinary Orders*

- ❖ MOVED by MEIER, SECONDED by VARGAS, to approve the proposed Combined Statement of Charges, Settlement Agreement and Final Order in the Matter of Kent J. Sturhahn, D.D.S., file number 13-031. Motion APPROVED unanimously.
- ❖ MOVED by MEIER, SECONDED by VARGAS, to approve the proposed Combined Statement of Charges, Settlement Agreement and Final Order in the Matter of Sarah F. Van Ballegooyen, Q.D.A., file number 13-0080. Motion APPROVED unanimously.
- ❖ MOVED by MEIER, SECONDED by VARGAS, to approve the proposed Combined Statement of Charges, Settlement Agreement and Final Order in the Matter of Taylor R. Brommel, Q.D.A., file number 14-0143. Motion APPROVED unanimously.
- ❖ MOVED by MEIER, SECONDED by VARGAS, to approve the proposed Combined Statement of Charges, Settlement Agreement and Final Order in the Matter of Randall W. Toothaker, D.D.S., file numbers 14-0167, 15-0061. Motion APPROVED unanimously.
- ❖ MOVED by MEIER, SECONDED by VARGAS, to approve the proposed Combined Statement of Charges, Settlement Agreement and Final Order in the Matter of Gregory D. Beernink, D.D.S., file number 15-0073. Motion APPROVED unanimously.

- ❖ MOVED by MEIER, SECONDED by VARGAS, to approve the proposed Notice of Hearing and Statement of Charges in the Matter of Jay R. Buckley, D.D.S., file numbers 13-0087, 15-0127. Motion APPROVED unanimously.
- ❖ MOVED by KELLY, SECONDED by MCBRIDE, to approve the proposed Combined Statement of Charges, Settlement Agreement and Final Order in the Matter of Allison N. Dixon, D.H., file number 13-030. Motion APPROVED unanimously.
- ❖ MOVED by KELLY, SECONDED by MCBRIDE, to approve the proposed Combined Statement of Charges, Settlement Agreement and Final Order in the Matter of Monica M. Goddard, D.H., file number 15-0077. Motion APPROVED unanimously.

### 3. *Compliance*

- ❖ MOVED by KELLY, SECONDED by MCBRIDE, to approve the request for modification of her Board Order in the Matter of Stacy L. Cook, Q.D.A., file number 08-121. Motion APPROVED unanimously.

### 4. *Final Action on Cases*

- ❖ MOVED by SLACH, SECONDED by KELLY, to KEEP OPEN file numbers 14-0171, 15-0060. Motion APPROVED unanimously.
- ❖ MOVED by SLACH, SECONDED by KELLY, to CLOSE file number 15-0040. Motion APPROVED unanimously.
- ❖ MOVED by SLACH, SECONDED by KELLY, to CLOSE file numbers 15-0071, 15-0072. Motion APPROVED unanimously.
- ❖ MOVED by SLACH, SECONDED by KELLY, to CLOSE file number 15-0081. Motion APPROVED unanimously.
- ❖ MOVED by SLACH, SECONDED by KELLY, to CLOSE file number 15-0082. Motion APPROVED unanimously.
- ❖ MOVED by SLACH, SECONDED by KELLY, to CLOSE file number 15-0089. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0021. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0041. Motion APPROVED unanimously.

- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0068. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0079. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0083. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to KEEP OPEN file number 15-0084. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to KEEP OPEN file numbers 15-0086, 15-0121. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0087. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0088. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to KEEP OPEN file number 15-0090. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0092. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0093. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0096. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0097. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0099. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0100. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0105. Motion APPROVED unanimously.

- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0106. Motion APPROVED unanimously. Vargas recused.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0107. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0108. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to KEEP OPEN file number 15-0111. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0112. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0113. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0114. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0115. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0116. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0117. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to KEEP OPEN file number 15-0118. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0119. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0120. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0122. Motion APPROVED unanimously.
- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0123. Motion APPROVED unanimously.

- ❖ MOVED by ELMITT, SECONDED by JENEARY, to CLOSE file number 15-0129. Motion APPROVED unanimously.
- ❖ MOVED by VARGAS, SECONDED by KELLY, to KEEP OPEN file number 11-190. Motion APPROVED unanimously.
- ❖ MOVED by VARGAS, SECONDED by KELLY, to CLOSE file number 13-0065. Motion APPROVED unanimously.
- ❖ MOVED by VARGAS, SECONDED by KELLY, to KEEP OPEN file numbers 14-0081, 15-0048. Motion APPROVED unanimously.
- ❖ MOVED by VARGAS, SECONDED by KELLY, to CLOSE file numbers 14-0080, 14-0086. Motion APPROVED unanimously.
- ❖ MOVED by VARGAS, SECONDED by KELLY, to KEEP OPEN file number 14-0087. Motion APPROVED unanimously.
- ❖ MOVED by VARGAS, SECONDED by KELLY, to KEEP OPEN file number 14-0116. Motion APPROVED unanimously.
- ❖ MOVED by VARGAS, SECONDED by KELLY, to CLOSE file number 14-0146. Motion APPROVED unanimously.
- ❖ MOVED by VARGAS, SECONDED by KELLY, to CLOSE file number 15-0018. Motion APPROVED unanimously.
- ❖ MOVED by VARGAS, SECONDED by KELLY, to CLOSE file number 15-0047. Motion APPROVED unanimously.
- ❖ MOVED by VARGAS, SECONDED by KELLY, to CLOSE file number 15-0054. Motion APPROVED unanimously.
- ❖ MOVED by KELLY, SECONDED by MCBRIDE, to CLOSE file number 15-0128. Motion APPROVED unanimously.
- ❖ MOVED by KELLY, SECONDED by MCBRIDE, to KEEP OPEN file number 15-0103. Motion APPROVED unanimously.

## **XVII. ADJOURN**

- ❖ MOVED by ELMITT, SECONDED by VARGAS to ADJOURN. Motion APPROVED unanimously.

The meeting was adjourned at 11:21 a.m. on October 23, 2015.

**NEXT MEETING OF THE BOARD**

The next quarterly meeting of the Board is scheduled for January 28-29, 2016, in Des Moines, Iowa.

These minutes are respectfully submitted by Christel Braness, Program Planner 2, Iowa Dental Board.

DRAFT



# STATE OF IOWA

## IOWA DENTAL BOARD

TERRY E. BRANSTAD, GOVERNOR  
KIM REYNOLDS, LT. GOVERNOR

JILL STUECKER  
EXECUTIVE DIRECTOR

### IOWA DENTAL BOARD

#### MINUTES

**December 3, 2015**  
**Conference Room**  
**400 S.W. 8<sup>th</sup> St., Suite D**  
**Des Moines, Iowa**

#### **Board Members**

Steven Bradley, D.D.S.  
Steven C. Fuller, D.D.S.  
Kaaren G. Vargas, D.D.S.  
Thomas M. Jeneary, D.D.S.  
William G. McBride, D.D.S.  
Mary C. Kelly, R.D.H.  
Nancy A. Slach, R.D.H.  
Diane Meier, Public Member  
Lori Elmitt, Public Member

#### **December 3, 2015**

Present  
Present  
Present  
Absent  
Present  
Present  
Present  
Present  
Present

#### **Staff Members**

Jill Stuecker

#### **Attorney General's Office**

None Present

### **I. CALL TO ORDER FOR DECEMBER 3, 2015**

Ms. Stuecker called the open session meeting of the Iowa Dental Board to order at 7:00 a.m. on Thursday, December 3, 2015. The meeting was held by electronic means in compliance with Iowa Code Section 21.8. The purpose of the meeting was conduct time-sensitive Board business. It was impractical to meet in person with such a short agenda and on such short notice. A quorum was established with eight (8) members present.

#### Roll Call:

<u>Member</u>	<u>Bradley</u>	<u>Elmitt</u>	<u>Fuller</u>	<u>Jeneary</u>	<u>Kelly</u>	<u>McBride</u>	<u>Meier</u>	<u>Slach</u>	<u>Vargas</u>
Present	x	x	x		x	x	x	x	x
Absent				x					

**II. 1<sup>st</sup> OPPORTUNITY FOR PUBLIC COMMENT**

Ms. Stuecker asked that the public members participating in the teleconference introduce themselves. There were no public members present.

Ms. Stuecker allowed the opportunity for public comment. No comments were received.

**III. DECISION AND ORDER: IN THE MATTER OF CYNTHIA D. ADAMS, DENTAL ASSISTANT, CASE No. 15-0053**

❖ MOVED by BRADLEY, SECONDED by VARGAS, to APPROVE the order as drafted.

<u>Member</u>	<u>Bradley</u>	<u>Elmitt</u>	<u>Fuller</u>	<u>Jeneary</u>	<u>Kelly</u>	<u>McBride</u>	<u>Meier</u>	<u>Slach</u>	<u>Vargas</u>
Yes	x	x	x		x	x	x		x
No								x	
Absent				x					

Motion APPROVED by roll call, 7-1.

**VI. 2<sup>nd</sup> OPPORTUNITY FOR PUBLIC COMMENT**

Ms. Stuecker offered the opportunity for public comment. No comments were received.

**VII. ADJOURN**

❖ MOVED by ELMITT, SECONDED by FULLER, to ADJOURN the meeting. Motion APPROVED unanimously.

The meeting was adjourned at 7:03 a.m. on December 3, 2015.

**NEXT MEETING OF THE BOARD**

The next meeting of the Board is scheduled for January 28-29, 2015, in Des Moines, Iowa.

These minutes are respectfully submitted by Jill Stuecker, Executive Director, Iowa Dental Board.

January 12, 2016

Dear Board Members,

Attached you will find the proposal for the Level 2 Expanded Functions Training Course from the University of Iowa College of Dentistry. I look forward to presenting this information in person at your January 28, 2016 meeting.

There are a few points that may benefit from some explanation in advance of my presentation:

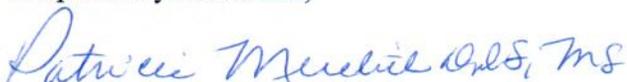
1. As we worked to design the course, we struggled with how to educate participants who work in a general dental practice where treatment of pediatric patients is not offered. We believe that clinical experiences are an integral part of Level 2 training, so we opted to offer the pediatric dentistry training as a separate component. In this design, participants desiring to have pediatric dentistry training will complete one additional weekend (Part 2/Module 5), and those participants whose practice do not treat pediatric patients will be eligible to apply to be a Level 2 expanded function auxiliary after completion of Part 1 (Modules 1-4).
2. The program is designed to be rigorous, requiring participants to demonstrate knowledge and proficiency through:
  - a. Didactic Examinations with 75% minimum score in each module
  - b. Progress Assessments (hands-on competencies) in each module
  - c. Verification Examination with 75% minimum score at the completion of each Part 1 and Part 2.

**Certification is to be awarded by the Board and may require additional testing as determined by the Board.**

3. We believe participation of the sponsoring dentist is important in the training of the dental auxiliary. Sponsoring dentists will be training and evaluating auxiliaries in their dental practice. In an effort to calibrate and educate the supporting dentist, we would like them to spend a few hours at the College of Dentistry during the first module. We appreciate that their time is valuable, and we hope to make this a valuable experience.

I look forward to your feedback and discussion on January 28.

Respectfully submitted,



Patricia Meredith DDS, MS

Expanded Functions  
for Dental Auxiliaries  
Level 2  
Training Course

*University of Iowa  
College of Dentistry  
2016*

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The University Of Iowa College Of Dentistry has been directed by the Iowa Dental Board and the Legislature of the State of Iowa to provide education to dental assistant and hygienists to be eligible to be certified as Level 2 Expanded Function Dental Auxiliaries. The course is offered in two separate parts. Part 1 encompasses level 2 skills related to the permanent dentition/edentulous arch. Part 2 addresses level 2 skills applied in pediatric dentistry. The College provides a multi-weekend course with content online, on site and in the dental office. The online tutorials provided basic information in all skill areas. The onsite component integrates didactic learning with hands on. The in-office assignments require the sponsoring dentist to provide clinical experiences which the dentist certifies are of acceptable quality. Participants are evaluated through online examinations, onsite examinations, and hands on progress assessments. The goal of the course is to train dental assistants and hygienists to be competent in areas of skill as outlined by the Code of Iowa as Level 2 Expanded Functions Auxiliaries.

## **Facility**

The University Of Iowa College Of Dentistry is located in Iowa City, Iowa. The College is completing an extensive renovation of the building including the Galagan Auditoriums, research facilities and all clinical areas. The Simulation clinic provides state of the art dental patient simulation and a computer integrated learning environment. The course will be held in the Galagan lecture hall and the Simulation clinic. Online courses and testing will be accessible to participants through pass protected internet access.

## **Faculty**

Dr Tad Mabry and Dr Patricia Meredith are the course directors. Faculty from the Operative, Pediatric Dentistry, and Prosthodontics Departments in the College of Dentistry will provide both didactic and hands on teaching.

## **Eligibility**

Participants must be CERTIFIED level 1 dental auxiliaries. This means that ALL level 1 skills must be certified in order to apply for the level 2 training. Each auxiliary must have a sponsoring dentist. The

auxiliary must score at least a 75% on the admissions examination prior to the beginning of the course. This exam covers material on the level one skills and will be offered online. The applicant may have 2 attempts to reach the 75% required score.

## **Sponsoring Dentist**

The sponsoring dentist has the responsibility of supporting applicants that have excellent level 1 skills, sufficient chairside experience and outstanding independent decision making skills. The sponsoring dentist is required to attend an orientation session during the first module of the course. The orientation session will outline the dentist's responsibility for providing and evaluating in-office experiences for the auxiliary.

## **Course Format**

The course is divided into two parts. Part 1 covers the skills for the permanent dentition/edentulous arch. Part 2 covers skills for the pediatric dentition. Applicants may choose to complete only Part 1 or both Part 1 and Part 2. Part 2 may NOT be taken without completing Part 1. Participants are expected to study online materials PRIOR to the onsite weekend. Each weekend of the course is considered a Module. Each Module begins on Friday, either morning or afternoon and ends on Saturday evening. Lectures will be presented on Friday with hands on components, including progress assessments on Saturday. Applicants should be prepared to stay on Saturday until all material for the weekend has been completed. Participants will have clinical assignments to be completed on patients in the sponsoring dentist's practice, evaluated by the dentist and signed off by the dentist. Learning objectives are provided for each module. Participants will be asked to complete a course evaluation at the end of each module to facilitate ongoing course improvements.

## **Certification**

Auxiliaries who successfully complete the application examination, all didactic exams, all progress assessments and all clinical assignments are eligible to take the verification exam offered at the end of the course.

Documentation of the results of the verification exam and completion of the course will be provided to the participant. Application for Level 2 Certification may be made by the applicant through the Iowa Dental Board.

## **Remediation**

Remediation will be completed on a case by case basis. The course directors reserve the right to fail or give an incomplete to any participant that is not performing at an acceptable level.

## **Fees**

Tuition for Part 1 and Part 2 will be assessed separately. All onsite materials, including a dentform, are provided as part of the tuition. Participants retain ownership of their dentoforms. The dentoform will be used for both onsite and for practice in the dental office. Practicing with materials used in the practice of the sponsoring dentist is encouraged.

# EFDA: Online Tutorial Material

## I Overview

- A. Course Overview
- B. Course schedule
- C. Orientation to
  - 1. Computer modules
  - 2. Sim clinic
  - 3. Kilgore typodonts
- D. Course Evaluation

## II Examinations

- A. Admissions exam: Online exam, 75 % minimum score, 2 attempts allowed.
- B. Part I – Permanent dentition/Edentulous arch
  - 1. Online lecture exams for each module, 75% minimum score
  - 2. Verification exam: Onsite exam over material (Modules 1-4) and pass with a Minimum score of 75%.
- C. Part 2- Primary dentition
  - 1. Online lecture exams for each module, 75% minimum score
  - 2. Verification exam: Take online exam over material from Lesson 1 and Lesson 2 and pass with a minimum score of 75%.

## III. Lectures

- A. Permanent Dentition
  - 1. Dental Caries Management
  - 2. Instrumentation
  - 3. Classification of Restorations
  - 4. Ergonomics
  - 5. Infection Control
  - 6. Dental Anatomy- Perm dentition
  - 7. Isolation Techniques: Rubber Dam, Optragate. Expandex, Isolite, Dry angles, cotton roll, Gauze
  - 8. Occlusion
  - 9. Shade selection
  - 10. Dental Cements
  - 11. Dental Amalgam
  - 12. Dental Composite
  - 13. Dental Glass Ionomer
  - 14. Record Taking
  - 15. Tissue Conditioning

These include material properties, handling and polishing

- B. Primary Dentition-
  - 1. Lesson 1

- a. Primary tooth numbering systems
    - Universal system
    - Palmer notation
  - b. Primary tooth anatomy
    - Enamel, dentin, pulp
    - Spacing, contacts
    - Cervical bulge considerations
    - Occlusal surface anatomy
    - Primary dentition occlusal considerations
  - c. Primary tooth restorative materials
    - Amalgam, composite, glass ionomer, resin-modified glass ionomer, sealant
    - Compomer
    - Cements: Glass ionomer, Resin-modified glass ionomer
  - d. Primary tooth restorative instruments
    - T-bands
    - Crimping pliers, contouring pliers, Howe pliers
2. Lesson 2
- a. Intracranial restoration technique (Class I)
    - Amalgam
    - Composite
    - Composite with sealant
    - Resin-modified glass ionomer
  - b. Intracoronary restoration technique (Class II)
    - Amalgam (including Back-to-back)
    - Composite
    - Resin-modified glass ionomer
  - c. Intracoronary restoration technique (Class III, V)
  - d. Sequencing considerations

# EFDA: On Site Modules

## I. Module 1- Introduction and Amalgams

### A. Friday- all day lecture

#### 1. Intro: Review from ONLINE

##### a. Course Overview:

Faculty and Staff introductions

Course objectives

Course schedule

##### b. Orientation to:

Computer modules

Sim clinic

Kilgore typodonts

##### c. Course Evaluation:

Components:

Online Lecture Exams

Progress Assessments on site

Clinical sign offs (Typodont and Clinical) Onsite and In -office

Verification Exam

Remediation/missed sessions/incomplete/fail

#### 2. Review of Online Materials

Dental Caries Management

Instrumentation

Classification of Restorations

Ergonomics

Infection Control

Dental Anatomy- Perm dentition

Isolation Techniques

Dental Occlusion

#### 3. New lecture: Amalgam

Materials

Spherical vs admix

Trituration

Disposal

Safety

Indications

Class I, II and V placement

### B. Saturday- AM

#### 1. Demo:

Sim clinic units and Typodonts

Instruments

Rubber Dam placement

2. Hands on for students

Evaluate Occlusion on partners

Placement of amalgam:

Occlusal #30

Occlusal Lingual #14

Buccal pit #19

Buccal Class V #30

C. Saturday –PM

Lecture and Demo

Class II Amalgam

Matrix, wedges

Condensing

Contact management

Back to back techniques

Hands on for students

Placement of amalgams:

MO#3

MOD #4

MO/DO #14

MOD #19

MO #20

MOdB #21

DO #29/#30

Review of In –office assignments: Clinical Sign offs

6 amalgams, at least 2 Class II

Learning Objectives: At the completion of Module 1 the participant will:

1. Be able to apply dental caries risk management to restorative materials choices
2. Understand the classification of dental restorations
3. Be able to identify instruments utilized in restorative dentistry
4. Apply concepts of ergonomics in dental practice
5. Apply infections control in the practice of dentistry
6. Have a working knowledge of dental anatomy
7. Understand and be able to utilize isolation techniques
8. Understand principles of dental occlusion
9. Have knowledge of properties and indications for dental amalgam
10. Be able to place amalgam in Class I, II and V preparations.

## **II Module 2- Composites**

A. Friday PM

Online Lecture exam on topics from Module 1 must be completed by 5pm

Thursday

Turn in Clinical sign offs  
Review from online (lecture)  
    Shade selection  
    Dental Cements  
New lecture: Composites  
    Materials  
        Hybrids, nanos and microfills  
        Glass Ionomers  
        Flowables  
        Sealants  
    Indications  
    Composite Restorative techniques  
        Bonding  
        Matrices, wedges, ring systems  
    Placement and finishing composites  
        Class I, II, III, V, Slot

B. Saturday all day

Demo: Composites  
    Ring systems  
    Bonding  
    Class I, II, III, V  
Hands on for students  
    Progress Assessment: Amalgam Class I, II and V  
    Composite Placement and polish  
        Class I: #12 O, #20 O, #5 O  
        Class III: #8 ML, #23ML  
        Class V: #4B, #11F  
        Class II: #4MOD, #13MOD, #21MO (SLOT), #28 DO  
Review of in-office assignments: Clinical Sign offs  
    10 composites, at least 3 Class II

Learning Objectives: At the completion of Module 2 the participant will:

1. Understand the principles of shade selections
2. Have a working knowledge of the properties and use of dental cements
3. Have knowledge of the properties and indications for dental composites
4. Be able to place composites in Class I, II, III and V preparations

### III Module 3

- A. Friday pm: Glass Ionomers and Prosthodontics  
    Online Lecture exam on topics from Module 2 must be completed by 5pm  
    Thursday

Turn in Clinical sign offs

Review from online lecture

Glass Ionomers

Record keeping

Prosthodontics

New lecture:

Glass Ionomers

Prosthodontics

Taking records for the fabrication of dentures and partial dentures

Overview

CR VS MIP (definitions and indications)

Methods/Techniques to guide patients to CR (bimanual, chin point, etc.)

Record material options (baseplate wax, aluwax and PVS)

Step by step procedure for CR/MIP records

Tissue conditioning

Overview

Material options for reline (tissue conditioning, soft or hard reline)

Indications for each material

Step by step procedure for tissue conditioning reline

How often for changing tissue conditioning reline

**B. Saturday all day**

Demo: Class V Resin Modified Glass Ionomer

Record taking and tissue conditioning

Hands on for students:

Progress Assessment: Composite Class II, III

Class V Glass Ionomer

#30 B, #19B

Record Taking

Tissue Conditioning

Review In-office assignments: Clinical sign offs

5 Glass Ionomers

Learning Objectives: At the completion of Module 3 the participant will:

1. Have knowledge of the properties and indications for glass ionomers
2. Apply concepts of Record taking to making dental records
3. Understand indications and techniques of tissue conditioning

**IV. Module 4-** Difficult situations, Tissue management, Course summary

**A. Friday -PM**

Online Lecture exam on topics from Module 3 must be completed by 5pm

## Thursday

Turn in clinical sign offs

Review from online lecture/Summary

New lecture

Difficult situations- rotations, contacts, occlusal schemes

Tissue Management

Back to back restorations

Course Summary

## B. Saturday-AM

Demo: Difficult situations and tissue management

Hands on for students

Progress Assessment: Glass Ionomer, Record taking, Tissue conditioning

Students may elect to practice procedure of their choice

## C. Saturday –PM

Verification Exam

Remediation- as needed

Learning Objectives: At the completion of Module 4 the participant will:

1. Manage difficult clinical restorative situations
2. Understand techniques for tissue management
3. Apply restorative techniques to complete restorations back to back

## V. Module 5 – Pediatric Dentistry

### A. Friday – AM

Lecture: Review Online Material

Discussion: The Role of EFDA's in a pediatric dental practice

### B. Friday – PM

Lecture: Full Coverage Restorations

Types available

Indications and contraindications

Isolation/airway protection/emergency aspiration treatment

Lecture: Stainless Steel Crowns

Selection

Fit and adjustment

Crimping

Trimming

Cementation

Permanent tooth considerations  
Lecture: Esthetic Crowns – posterior

Selection  
Adjustment  
Cementation

Lecture: Esthetic Crowns – anterior  
Selection  
Adjustment  
Cementation

D. Saturday – AM

Sim Clinic Demonstration: Use of T-bands  
Hands on for students

Class I

Amalgam:	Tooth # A.T
Composite:	Tooth # B, S
Composite with sealant:	Tooth # J
Resin-modified glass ionomer:	Tooth #K

Class II

Amalgam:	Tooth # T
Back-to-back amalgam	Teeth #S.T
Composite:	Tooth # I
Resin-modified glass ionomer:	Tooth #L

Class III, V

Composite:	Tooth #C, E
Resin-modified glass ionomer:	Tooth #F

Sim Clinic Demonstration:

Crimping  
Trimming  
Extreme crimping

Hands on for students

Stainless Steel Crowns

Single crown	Tooth #A, J, K, S
Back-to-back crowns	Teeth #A, B, K, L
Space loss situation	Tooth #S.T
Permanent tooth	Tooth #30

Esthetic Posterior Crown

Single tooth	Tooth #S
Back-to-back teeth	Teeth #S, T

Esthetic Anterior Teeth	
Single incisor	Tooth #D, E
Single canine	Tooth #C
Multiple incisors	Teeth #D, E, F, G
E. Saturday - pm	
Progress Assessments:	
Class I amalgam	Tooth #T
Class II composite	Tooth #S
Class III resin-modified glass ionomer	Tooth #H
Back-to-back posterior stainless steel crowns	Teeth #K, L
Anterior esthetic crown	Tooth #E
Review in-office assignments: Clinic sign offs	
6 crowns	
4 intracranial restorations	
Participants will complete in-office assignments and mail in sign off sheets.	
Verification exam will be given online.	

Learning Objectives: At the completion of Module 4 participants will:

1. Understand the role an EFDA plays in a pediatric practice
2. Understand the different numbering systems used to identify primary teeth and know the role these play in crown selection.
3. Understand how the anatomical differences between primary and permanent teeth affect dental restorations.
4. Understand the handling characteristics of restorative materials appropriate for primary teeth.
5. Be able to identify when preparations need modifications prior to restorations being placed.
6. Be able to demonstrate successful placement of amalgam, composite and glass ionomer restorations in Class I, II, III and V lesions.
7. Be able to demonstrate appropriate stainless steel and esthetic crown selection for both anterior and posterior primary teeth.
8. Be able to recognize when and how to modify preformed crowns to improve fit and function.
9. Be able to demonstrate appropriate crown cementation technique.
10. Understand how to prevent as well as treat any emergency situations that may develop in association with pediatric restorative procedures.

# FDA Sign off sheet- Part 1

Student name: \_\_\_\_\_

Date completed: \_\_\_\_\_

Material	Typodont/ clinical	Tooth #	Surface	Date Completed	DDS Sig
Amalgam	Typodont	30	O		
Amalgam	Typodont	14	OL		
Amalgam	Typodont	19	Buc pit		
Amalgam	Typodont	30	B ClassV		
Amalgam	Typodont	3	MO		
Amalgam	Typodont	4	MO		
Amalgam	Typodont	14	MO/DO		
Amalgam	Typodont	19	MOD		
Amalgam	Typodont	20	MO		
Amalgam	Typodont	21	MOB		
Amalgam	Typodont	29/30	Do/MO		
Composite	Typodont	12	O		
Composite	Typodont	20	O		
Composite	Typodont	5	O		
Composite	Typodont	8	ML		
Composite	Typodont	23	ML		
Composite	Typodont	4	B		
Composite	Typodont	11	F		
Composite	Typodont	4	MOD		
Composite	Typodont	13	MOD		
Composite	Typodont	21	MO		
Composite	Typodont	28	DO		
Glass Ionomer	Typodont	30	B		
Glass Ionomer	Typodont	19	B		



## EFDA SIGN OFF SHEET Part 2

Student name: \_\_\_\_\_ Date completed: \_\_\_\_\_

Material	Typodont or Clinical	tooth	surface	Date Completed	DDS Signature
Amalgam	Typodont	A	O		
Amalgam	Typodont	T	O		
Composite	Typodont	B	O		
Composite	Typodont	S	O		
Comp+seal	Typodont	J	O		
RMGI	Typodont	K	O		
Class II					
Amalgam	Typodont	T			
Amalgam	Typodont	S,T			
Composite	Typodont	I			
RMGI	Typodont	L			
Class III,IV					
Composite	Typodont	C			
Composite	Typodont	E			
RMGI	TYpodont	F			
Crowns					
Single	Typodont	A			
Single	Typodont	J			
Single	Typodont	K			
Single	Typodont	S			
Back/Back	Typodont	A,B			
Back/back	Typodont	K,L			
Space loss	Typodont	S,T			
Perm tooth	Typodont	30			

**EFDA SIGN OFF SHEET Part 2, page 2**

<b>Material</b>	<b>Typodont or Clinical</b>	<b>tooth</b>	<b>surface</b>	<b>Date Completed</b>	<b>DDS Signature</b>
<u>Esthetic Posterior crowns</u>	-				
Single	Typodont	S,			
Bac/back	Typodont	S.T			
<u>Esthetic Anterior Crowns</u>	-				
Single	Typodont	D			
Single	Typodont	F			
Single canine	Typodont	C			
Multi Incisors	Typodont	DEFL			
Add in office experiences here					
Crown 1	Clinical				
Crown2	Clinical				
Crown 3	Clinical				
Crown4	Clinical				
Crown 5	Clinical				
Crown 6	Clinical				
Intracoronral 1	Clinical				
Intracoronral 2	Clinical				
Intracoronral 3	Clinical				
Intracoronral 4	Clinical				



Patricia K Meredith DDS, MS is an Associate Professor in the Department of Operative Dentistry at the University of Iowa.

Dr Meredith received her DDS in 1983 and her MS in 2008 both from the University of Iowa. She completed a certificate from Northwestern University Kellogg School of Management in the ADA/Kellogg Executive Management Program. She also is a graduate of the Iowa Leadership Program at the University of Iowa Hospitals and Clinics.

Dr. Meredith is the course director for both the clinical and didactic sophomore Operative courses. Over the last five years Dr Meredith has implemented many changes in the sophomore curriculum including CAD/CAM technology, paperless manuals, integration of the operative course with the esthetic course, and Electronic records in the Simulation Clinic. She also teaches in freshman pre-clinical and junior clinical courses in Operative as well as providing instruction for Operative graduate students. Her interest in problem- based learning stemmed from being a facilitator in freshman and junior problem- based learning courses. In addition to her academic responsibilities, Dr Meredith provides patient care one and a half days per week in the faculty practice and supervises the Operative department assistants and hygienists. During the recent renovations she served as the relocation manager for Operative Dentistry. The Iowa Mission of Mercy will have its ninth mission in 2016. Dr. Meredith has served as the Dental chief each year. Her leadership in the public service arena has inspired dental students to become very active in IMOM. Dr Meredith recently completed a six year term as the Region X Trustee for the Academy of General Dentistry and continues to serve as a national spokesperson, a STAR facilitator and as a Board member for the Iowa Academy of General Dentistry.



Dr. Mabry is currently a Clinical Associate Professor in the Department of Pediatric Dentistry at the University Of Iowa College Of Dentistry. He joined the university in 2009 after serving in the U.S. Public Health Service.

He received his dental degree from the University of Oklahoma and completed a General Practice Residency at Oklahoma Children's Memorial Hospital. In addition he completed a pediatric dental residency at the University of Nebraska Medical Center. More recently, he completed a Master's Degree in Collegiate Scholarship and Services from Northeastern Oklahoma State University.

During his 21 years with the United States Public Health Service, Dr. Mabry worked with and was involved with the training of expanded function dental assistants. He was selected as the Indian Health Service Dental Specialist of the Year and was named the U.S. Public Health Service Senior Dental Clinician of the Year.

At the University of Iowa, Dr. Mabry is involved with the teaching activities of both undergraduate dental students as well as graduate pediatric dental residents. He is currently the Predoctoral Clinical Director in the Department of Pediatric Dentistry. He is recognized as a diplomate of the American Board of Pediatric Dentistry and currently serves as a member of their Examination Committee. He has provided continuing dental education at local, state, national and international meetings throughout his career.



Piriya (Peter) Boonsiriphant, D.D.S. is an Assistant Professor in the Department of Prosthodontics, College of Dentistry, University of Iowa.

Dr.Boonsiriphant received his dental degree (first class honor) from Chulalongkorn University in Bangkok, Thailand. He received the highest academic distinction in Pharmacology upon graduation. From 2009 to 2011, he was a full-time faculty in the Department of General Dentistry, Faculty of Dentistry, Srinakharinwirot University in Bangkok, Thailand.

Dr.Boonsiriphant completed the advanced program in Prosthodontics for international dentists in 2012 and postgraduate residency training in Prosthodontics in 2015 from New York University. During his residency training, Dr. Boonsiriphant was the Adisman Boot's recipient (presented to the student demonstrating the skills and talents to make

him most likely to follow in the footsteps of his teachers).

Dr. Boonsiriphant joined the Department of Prosthodontics, College of Dentistry, University of Iowa in 2015. His teaching responsibilities include clinical supervision of fixed, removable, and implant prosthodontics at the predoctoral and postdoctoral levels. In addition, he is a co-course director of Anterior Fixed Prosthodontics courses. He also serves as an instructor in the Fixed and Removable Prosthodontics and Occlusion Laboratory courses for freshmen and sophomore dental students.

Dr. Boonsiriphant's research is focused on ceramic materials for dental applications. His primary activities in the College of Dentistry faculty practice include the diagnosis and interdisciplinary treatment of patients requiring fixed, removable and/or implant-supported prostheses. He also serves as a health technologies committee of the dental college.

He is a member of the American College of Prosthodontists and serves as a digital curriculum development committee of the American College of Prosthodontists Education Foundation.

# REPORT TO THE IOWA DENTAL BOARD

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**DATE OF MEETING:** January 28-29, 2016  
**RE:** Recommendations: Course, Sponsor & Requests  
**SUBMITTED BY:** Continuing Education Advisory Committee  
**ACTION REQUESTED:** Board Action on Committee Recommendation

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## COMMITTEE RECOMMENDATIONS

The committee requests that the Board accept the following recommendations:

### CONTINUING EDUCATION COURSE REVIEW

1. Oral Surgeons, P.C. – “*Dental Office Emergencies & How to Avoid Them*” – Requested 1.5 hours – APPROVED
2. Oral Surgeons, P.C. – “*Preparing Patients for ORN & Anti-Resorptives*” – Requested 1.5 hours – APPROVED
3. Michelle Ballantyne (Iowa Central Community College) – “*Medication Manager Course*” – Requested 12 hours – DENIED due to lack of dental application. May request reconsideration of credit if she were to submit additional information demonstrating application in dentistry.
4. Institute for Natural Resources – “*Understanding Diabetes*” – Requested 7 hours (8:30 a.m. – 3:30 p.m., with a 50 minute lunch break) – APPROVED for 3 hours
5. Iowa Dental Hygienists' Association – “*I See Your True Colors Shining Through – Cultural Competence for the Dental Professions*” – Requested 3 hours – APPROVED
6. Iowa Dental Hygienists' Association – “*From the Cradle to the Grave – Oral Pathology Through the Life Span*” – Requested 3 hours – APPROVED
7. Iowa Dental Hygienists' Association – “*Picture This – Advancements in Digital Imaging*” – Requested 3 hours – APPROVED
8. Iowa Valley Continuing Education – “*Dental Management of the Patient with Psychiatric Illness*” – Requested 3 hours – APPROVED
9. Iowa Dental Hygienists' Association – “*Trends in Instrumentation Technology – A Hands-on Experience with XP Instruments*” – Requested 4 hours – APPROVED for 3 hours
10. Impact Dental Training – “*Hard Tissue Laser Hands on Techniques Course*” – Requested 6 hours total: 4 hours lecture & 2 hours of hands-on simulation – APPROVED with the stipulation that a licensed dentist instruct the portions of the course, which address services that may only be provided by dentists.

11. Institute for Natural Resources – *“Inflammation, Chronic Illness, & the Brain”* – Requested 6 hours – DENIED due to insufficient information discussing the application of clinical dentistry to this topic.
12. Submitted by Lisa Theisen – sponsored by Institute for Brain Potential – *“Reasoning with Unreasonable People: Focus on Disorders of Emotional Regulation”* – Requested 6 hours – DENIED due to insufficient information discussing the application of clinical dentistry to this topic.
13. Iowa Dental Association (May 2016 Meeting):
  - a. *“Medical Emergencies in the Dental Office”* – Requested 3 hours – APPROVED
  - b. *“The Third Molar Dilemma – When to Extract, When to Observe”* – Requested 3 hours – APPROVED
  - c. *“Oral Diagnosis in the Pediatric Patient: Radiology and Oral Pathology” (1<sup>st</sup> Session)* – Requested 3 hours – APPROVED
  - d. *“Oral Diagnosis in the Pediatric Patient: Radiology and Oral Pathology” (2<sup>nd</sup> Session)* – Requested 3 hours – APPROVED
  - e. *“Designed for Accomplishment; Engineered for Success” (1<sup>st</sup> Session)* – Requested 3 Hours – REQUEST ADDITIONAL INFORMATION
  - f. *“Designed for Accomplishment; Engineered for Success” (2<sup>nd</sup> Session)* – Requested 3 Hours – REQUEST ADDITIONAL INFORMATION
  - g. *“Lunch and Learn Sessions”* – Requested 1 hour – REQUEST ADDITIONAL INFORMATION
  - h. *“Know Your Patients’ Medications and Their Impact on Dental Treatment” (1<sup>st</sup> Session)* – Requested 2 hours – APPROVED
  - i. *“Know Your Patients’ Medications and Their Impact on Dental Treatment” (2<sup>nd</sup> Session)* – Requested 1.5 hours – APPROVED
  - j. *“Know Your Patients’ Medications and Their Impact on Dental Treatment” (3<sup>rd</sup> Session)* – Requested 1.5 hours – APPROVED
  - k. *“Know Your Patients’ Medications and Their Impact on Dental Treatment” (4<sup>th</sup> Session)* – Requested 1 hour – APPROVED
  - l. *“Bottom Line Boosters: How Crown and Bridge and Composite Know-Hows Can Change Your Practice” (1<sup>st</sup> Session)* – Requested 2 hours – APPROVED
  - m. *“Bottom Line Boosters: How Crown and Bridge and Composite Know-Hows Can Change Your Practice” (2<sup>nd</sup> Session)* – Requested 1.5 hours – APPROVED
  - n. *“Bottom Line Boosters: How Crown and Bridge and Composite Know-Hows Can Change Your Practice” (3<sup>rd</sup> Session)* – Requested 1.5 hours – APPROVED
  - o. *“Bottom Line Boosters: How Crown and Bridge and Composite Know-Hows Can Change Your Practice” (4<sup>th</sup> Session)* – Requested 1 hour – APPROVED
  - p. *“Interceptive Orthodontics – The What, When, How & Why” (1<sup>st</sup> Session)* – Requested 1.5 hours – APPROVED

- q. *“Interceptive Orthodontics – The What, When, How & Why” (2<sup>nd</sup> Session)* – Requested 1.5 hours – APPROVED
  - r. *“Current Scientific Evidence in Endodontic Therapy” (1<sup>st</sup> Session)* – Requested 2 hours – APPROVED
  - s. *“Current Scientific Evidence in Endodontic Therapy” (2<sup>nd</sup> Session)* – Requested 2 hours – APPROVED
  - t. *“Efficient and Effective Endodontics/Hands-on Session”* – Requested 3 hours – APPROVED
  - u. *“Successful Management of Adults with Special Needs” (1<sup>st</sup> Session)* – Requested 1.5 hours – APPROVED
  - v. *“Successful Management of Adults with Special Needs” (2<sup>nd</sup> Session)* – Requested 1.5 hours – APPROVED
  - w. *“Infection Control Update: How to Provide a Safe Visit and What the Public Expects”* – Requested 2 hours – APPROVED
  - x. *“Radiography Renewal: Current Guidelines in Radiation Protection in Dentistry”* – Requested 2 hours – APPROVED
14. Iowa Governor’s Conference on Public Health – *Multiple Topics* – Number of requested hours not specified in materials submitted. – **REQUEST ADDITIONAL INFORMATION**

### Continuing Education Course Applications Reviewed by Board Staff

1. Southeast Iowa District – *“Pediatric Dentistry Updates”* – Requested 6 hours. Board staff recommended APPROVAL pursuant to Iowa Administrative Code 650—25.3(7)b.\* Continuing Education Advisory Committee APPROVED recommendation.
2. Oral Surgeons, P.C. – *“Bone Grafting – When & Why”* – Requested 1.5 hours. Board staff recommended APPROVAL pursuant to Iowa Administrative Code 650—25.3(7)b.\* Continuing Education Advisory Committee APPROVED recommendation.
3. Patterson Dental – *“Essentials of Dental Radiography - Digital”* – Requested 2 hours. Board staff recommended APPROVAL pursuant to Iowa Administrative Code 650—25.3(7)b.\* Continuing Education Advisory Committee APPROVED recommendation.
4. Spring Park Implant Study Club – *“Spring Park Mini Implant Residency”* – Requested 30 hours Board staff recommended APPROVAL pursuant to Iowa Administrative Code 650—25.3(7)b.\* Continuing Education Advisory Committee APPROVED recommendation.
5. Eastern Iowa Community College District – *“Removal of Third Molars”* – Requested 2 hours Board staff recommended APPROVAL pursuant to Iowa Administrative Code 650—25.3(7)b.\* Continuing Education Advisory Committee APPROVED recommendation.
6. Iowa Dental Association (May 2016 Meeting):

- a. *General Attendance* – Requested 3 hours. APPROVAL – Iowa Administrative Code 650—25.3(4)a\*\* allows 3 hours of continuing education credit for attention at a “multiday, convention-type meeting”. Continuing Education Advisory Committee APPROVED recommendation.
  - b. *Table Clinics* – Requested 2 hours. APPROVAL – Iowa Administrative Code 650—25.3(4)a\*\* allows 2 hours of continuing education credit for attendance at table clinic sessions. Continuing Education Advisory Committee APPROVED recommendation.
  - c. *Table Clinic Presenters* – Requested 4.0 hours. APPROVAL – Iowa Administrative Code 650—25.3(4)a\*\* allows 4 hours of continuing education credit for presentation of an original table clinic. Continuing Education Advisory Committee APPROVED recommendation.
7. Cancun Study Club – *Multiple Topics (clinical)* – Requested 24 hours total. Board staff recommended APPROVAL pursuant to Iowa Administrative Code 650—25.3(7)b.\* Continuing Education Advisory Committee APPROVED recommendation.
8. Mercy Cedar Rapids, Hall-Perrine Cancer Center – “*2016 Spring Cancer Care Update for Dental Health Professionals*” – Requested 2 hours. Board staff recommended APPROVAL pursuant to Iowa Administrative Code 650—25.3(7)b.\* Continuing Education Advisory Committee APPROVED recommendation.

\* Iowa Administrative Code 650—25.3(7)b. “*Acceptable subject matter includes courses in patient treatment record keeping, risk management, sexual boundaries, communication, and OSHA regulations, and courses related to clinical practice. A course on Iowa jurisprudence that has been prior-approved by the board is also acceptable subject matter.*”

\*\* Iowa Administrative Code 25.3(4) “*Activity types acceptable for continuing dental education credit may include:*

a. *Attendance at a multiply convention-type meeting. A multiday, convention-type meeting is held at a national, state, or regional level and involves a variety of concurrent educational experiences directly related to the practice of dentistry. Effective July 1, 2000, attendees shall receive three hours of credit with the maximum allowed six hours of credit per biennium. Prior to July 1, 2000, attendees received five hours of credit with the maximum allowed ten hours of credit per biennium. Four hours of credit shall be allowed for presentation of an original table clinic at a convention-type meeting as verified by the sponsor when the subject matter conforms with 25.3(7). Attendees at the table clinic session of a dental, dental hygiene, or dental assisting convention shall receive two hours of credit as verified by the sponsor.*”

## **CONTINUING EDUCATION SPONSOR APPLICATIONS FOR REVIEW**

- o No sponsor applications received.

## **OTHER RECOMMENDATIONS**

- o No other recommendations

**Request for Rule Interpretation:** What does is considered sufficient (for a local anesthesia permit applicant) in terms of “ongoing practice in the administration of local anesthesia in another state or jurisdiction that authorizes a dental hygienist to administer local anesthesia”?

**650—11.7 (147,153) Dental hygiene application for local anesthesia permit.** A licensed dental hygienist may administer local anesthesia provided the following requirements are met:

1. The dental hygienist holds a current local anesthesia permit issued by the board of dental examiners.
2. The local anesthesia is prescribed by a licensed dentist.
3. The local anesthesia is administered under the direct supervision of a licensed dentist.

**11.7(1)** Application for permit. A dental hygienist shall make application for a permit to administer local anesthesia on the form approved by the dental hygiene committee and provide the following:

- a.* The fee for a permit to administer local anesthesia as specified in 650—Chapter 15; and
- b.* Evidence that formal training in the administration of local anesthesia has been completed within 12 months of the date of application. The formal training shall be approved by the dental hygiene committee and conducted by a school accredited by the American Dental Association Commission on Dental Education; or
- c.* Evidence of completion of formal training in the administration of local anesthesia approved by the dental hygiene committee **and documented evidence of ongoing practice in the administration of local anesthesia in another state or jurisdiction that authorizes a dental hygienist to administer local anesthesia.**

## **Summary of Rule Waiver Requests for Iowa Administrative Code 650—11.7, “Dental hygiene application for local anesthesia permit.”**

**Mackenzie Meyer** – Iowa Administrative Code 650—11.7(1)b requires that a dental hygienist make application within 12 months of completing local anesthesia training (e.g. graduation from dental hygiene school), or the applicant must also demonstrate “ongoing practice” of local anesthesia in another state. Ms. Meyer requested a rule waiver due to the limited number of local anesthesia training courses offered outside of a formal dental hygiene program.

Ms. Meyer requested the rule waiver more than 12 months following graduation from Carl Sandburg College. However, training had been completed less than 24 months prior to the date of the request. Ms. Meyer also stated that she had kept up with knowledge of injection sites, etc.

- Graduated from Carl Sandburg May 2013.
- Requested rule waiver January 2015.

Rule waiver was APPROVED since rules for reinstatement of a local anesthesia permit allow reinstatement without additional training if reinstated within two (2) years from the date the permit lapsed.

**Gina Houston** – Iowa Administrative Code 650—11.7(1)b requires that a dental hygienist make application within 12 months of completing local anesthesia training (e.g. graduation from dental hygiene school), or the applicant must also demonstrate “ongoing practice” of local anesthesia in another state. Ms. Houston requested a rule waiver due to the limited number of local anesthesia training courses offered outside of a formal dental hygiene program.

Ms. Houston made application for a dental hygiene license and local anesthesia permit approximately 16 months following graduation from Hawkeye Community College.

- Graduated from Hawkeye Community College May 2014.
- Requested rule waiver July 2015.
- Obtained Iowa dental hygiene license August 2015.

Rule waiver was APPROVED.

**Rebecca Pike** – Iowa Administrative Code 650—11.7(1)b requires that a dental hygienist make application within 12 months of completing local anesthesia training (e.g. graduation from dental hygiene school), or the applicant must also demonstrate “ongoing practice” of local anesthesia in another state. Ms. Pike requested a rule waiver due to the limited number of local anesthesia training courses offered outside of a formal dental hygiene program.

Ms. Pike made application for a dental hygiene license and local anesthesia permit approximately 16 months following graduation from Hawkeye Community College.

- Received training in local anesthesia from Mankato State University.
- Reported 11 years of local administration experience.
- Iowa local anesthesia permit lapsed August 31, 2011.
- Applied for reactivation of Iowa dental hygiene license July 2015.
- Requested rule waiver August 2015.

Proposed completing on-the-job training to review techniques due to difficulty in obtaining a new course. Rule waiver was APPROVED.

**DENTAL BOARD [650]**  
**Adopted and Filed**

Pursuant to the authority of Iowa Code sections 147.76 and 272C.2, the Dental Board adopts an amendment to Chapter 13, "Special Licenses," Iowa Administrative Code.

The purpose of the amendment is to establish procedural rules implementing the licensing provisions of House File 202, which has been adopted into Iowa Code Section 153.23, relating to the licensure of retired volunteer dentists and dental hygienists.

The rules establish the criteria for application and qualification for such a license and establishes standards that must be met in order to retain the license.

Current rules do not permit dentists or dental hygienists the option to obtain a retired volunteer license.

A public hearing was held on December 16, 2015 at 2pm at the offices of the Iowa Dental Board. There were no attendees present.

There were no written comments received.

The Board reviewed and discussed the rule amendment during their January 28, 2016 open session board meeting and allowed additional comments from the public.

The amendment was approved by the Board on January 28, 2016.

After analysis and review of this rule making, no impact on jobs has been found for dentists or dental hygienists.

These amendments are intended to implement Iowa Code section 153.23. The following amendments are adopted.

ITEM 1. Add subrule 650-13.4

**13.4(1)** Applications for a retired volunteer license shall be made on forms provided by the board, which may include online applications, and must be complete. Incomplete applications will not be accepted.

**13.4(2)** Applications shall be filed with the board and must include:

- a. Satisfactory evidence that the applicant has retired from practice; and
- b. A statement disclosing and explaining any disciplinary actions and/or criminal charges; and
- c. Satisfactory evidence that the applicant has held an active dental or dental hygiene license within the previous 5 years; or
- d. Satisfactory evidence demonstrating that the applicant possesses sufficient knowledge and skill to practice safely and competently if the applicant has not held an active dental or dental hygiene license within the previous 5 years.

**13.4(3)** Persons holding a retired volunteer license shall not practice unless an Iowa licensed dentist with an active license is present at the location of practice at all times. Screenings and educational programs may be performed without the presence of an Iowa licensed dentist with an active license, provided that all other board rules governing the respective practice are met in regards to supervision requirements and permitted scope of practice.

**13.4(4)** A person holding a retired volunteer license shall not charge a fee or receive compensation or remuneration in any form from any person or third-party payer including but not limited to an insurance company, health plan, or state or federal benefit program.

**13.4(5)** An applicant who has surrendered, resigned, converted, or allowed a license to lapse or expire as the result of or in lieu of disciplinary action shall not be eligible for a retired volunteer license.

**13.4(6)** A retired volunteer license shall not be considered to be an active license to practice dentistry or dental hygiene and cannot be converted to any regular license type with active or inactive status.

**13.4(7)** Persons holding a retired volunteer license are prohibited from delegating duties to other licensees or registrants, and are prohibited from provided any level of supervision to other licensees or registrants. Licensees and registrants assisting persons with a retired volunteer license are doing so under the delegation and supervision of the Iowa licensed dentist with an active license who is required to be present at all times.

**13.4(8)** Persons holding a retired volunteer license are prohibited from prescribing, administering, or dispensing prescription drugs and all controlled substances.

**13.4(9)** A person holding a retired volunteer license is subject to all rules and regulations governing the practice of dentistry or dental hygiene except those related to the payment of fees, license renewal, and continuing education.

**13.4(10)** The board shall not charge an application or licensing fee for issuing a retired volunteer license.

**13.4(11)** A retired volunteer license is valid for twelve months from the date of issuance, at which time it expires and becomes invalid. A retired volunteer license holder whose license has become invalid is prohibited from the practice of dentistry or dental hygiene until a new retired volunteer license is issued.

**13.4(12)** The board may cancel a retired volunteer license if the holder has practiced outside the scope of the license or for any of the grounds for which licensure may be revoked or suspended as specified in Iowa Code chapters 147, 153, and 272C and 650—30.4(147,153,272C). When cancellation of a retired volunteer license is proposed, the board shall promptly notify the holder by sending a statement of charges and notice of hearing by certified mail to the last-known address of the holder, or by personal service. The provisions of 650—Chapter 51 shall govern a contested case proceeding following notice of intent to cancel the license.

**13.4(13)** The holder of a retired volunteer license shall notify the board by written correspondence or through the board's online system of any change in name or home address within seven days of the change. A copy of a certified marriage license or copy of certified court documents is required for proof of a name change.

**13.4(14)** Dental hygiene committee review. The dental hygiene committee shall make recommendations to the board regarding the issuance or denial of any retired volunteer license to practice dental hygiene. The board's review of the dental hygiene committee's recommendation is subject to 650—Chapter 1.

**13.4(15)** Denial of a retired volunteer license. The board may deny a retired volunteer license in accordance with 650—11.9(147,153) The procedure for appealing the denial is set forth in 650—11.10(147).

**13.4(16)** A holder of an inactive Iowa dental or dental hygiene license may also hold a retired volunteer license.

## **DENTAL BOARD[650]**

### **Notice of Intended Action**

Pursuant to the authority of Iowa Code section 147.76 and 153.33, the Dental Board hereby gives Notice of Intended Action to amend Chapter 10, "General Requirements", Iowa Administrative Code.

The purpose of the proposed amendment is to clarify supervision requirements in an accredited dental hygiene program. The proposed rules establish the supervision criteria that must be met when students practice clinical skills as part of their regular course of instruction.

Any interested person may make written comments on the proposed amendments on or before March 29, 2016. Such written materials should be directed to Phil McCollum, Associate Director, Iowa Dental Board, 400S.W. Eighth Street, Suite D, Des Moines, Iowa 50309, or sent by e-mail to phil.mccollum@iowa.gov.

There will be a public hearing on March 29, 2016, at 2 p.m. in the Board office, 400 S.W. Eighth Street, Suite D, Des Moines, Iowa, at which time persons may present their views orally or in writing.

The Board does not intend to grant waivers under the provisions of these rules.

After analysis and review of this rule making, no impact on jobs has been found.

These amendments are intended to implement Iowa Code Section 153.15.

The following amendment is proposed.

ITEM 1. Add subrule 650.10.4(4).

**650 - 10.4(4) (153) Students enrolled in dental hygiene programs.** Students enrolled in an accredited dental hygiene program are not considered to be engaged in the unlawful practice of dental hygiene provided that such practice is in connection with their regular course of instruction and meets the following:

- a. Practicing clinical skills on peers enrolled in the same program must be under the direct supervision of a program instructor with an active Iowa dental hygiene license, Iowa faculty permit, or Iowa dental license;
- b. Practicing clinical skills on members of the public must be under the general supervision of a dentist with an active Iowa dental license;
- c. Practicing clinical skills involving the administration or monitoring of nitrous oxide or the administration of local anesthesia must be under the direct supervision of a dentist with an active Iowa dental license.

## **DENTAL BOARD[650]**

### **Notice of Intended Action**

Pursuant to the authority of Iowa Code section 147.76, 153.33 and 153.38, the Dental Board hereby gives Notice of Intended Action to amend Chapter 20, “Dental Assistants”, Iowa Administrative Code.

The purpose of the proposed amendment is to clarify supervision requirements in an accredited dental assisting program. The proposed rules establish the supervision criteria that must be met when students practice clinical skills as part of their regular course of instruction.

Any interested person may make written comments on the proposed amendments on or before March 29, 2016. Such written materials should be directed to Phil McCollum, Associate Director, Iowa Dental Board, 400S.W. Eighth Street, Suite D, Des Moines, Iowa 50309, or sent by e-mail to phil.mccollum@iowa.gov.

There will be a public hearing on March 29, 2016, at 2 p.m. in the Board office, 400 S.W. Eighth Street, Suite D, Des Moines, Iowa, at which time persons may present their views orally or in writing.

The Board does not intend to grant waivers under the provisions of these rules.

After analysis and review of this rule making, no impact on jobs has been found.

These amendments are intended to implement Iowa Code Section 153.38 and 153.39.

The following amendment is proposed.

ITEM 1. Add subrule 650.20.17.

## 20.17 Students enrolled in dental assisting programs

a. Students enrolled in an accredited dental assisting program are not considered to be engaged in the unlawful practice of dental assisting provided that such practice is in connection with their regular course of instruction and meets the following:

1. Practicing clinical skills on peers enrolled in the same program must be under the direct supervision of a program instructor with an active Iowa dental assistant registration, Iowa dental hygiene license, Iowa faculty permit, or Iowa dental license;
2. Practicing clinical skills on members of the public must be under the direct supervision of a dentist with an active Iowa dental license;
3. Practicing clinical skills involving expanded function procedures must be under the direct supervision of a dentist with an active Iowa dental license.

**DENTAL BOARD [650]**  
**Notice of Intended Action**

Pursuant to the authority of Iowa Code section 147.76 and 153.33 the Dental Board hereby gives Notice of Intended Action to amend Chapter 27, “Standards of Practice and Principles of Professional Ethics,” Iowa Administrative Code.

The purpose of the proposed amendment is to update rules regarding retirement or discontinuation of practice. The proposed rules clarify that any departing dentist must provide proper notification and information to active patients and establishes the minimum standards for meeting those requirements. The proposed rules also clarify that patient records can be transferred to another licensed dentist within the practice, permitted that patient notification is given.

Any interested person may make written comments on the proposed amendments on or before March 29, 2016. Such written materials should be directed to Phil McCollum, Associate Director, Iowa Dental Board, 400S.W. Eighth Street, Suite D, Des Moines, Iowa 50309, or sent by e-mail to [phil.mccollum@iowa.gov](mailto:phil.mccollum@iowa.gov).

There will be a public hearing on March 29, 2016, at 1 p.m. in the Board office, 400 S.W. Eighth Street, Suite D, Des Moines, Iowa, at which time persons may present their views orally or in writing.

The proposed amendments are not subject to waiver or variance pursuant to Iowa Administrative Code 650-27.12.

After analysis and review of this rule making, no impact on jobs has been found for dentists.

These amendments are intended to implement Iowa Code section 153.34. The following amendments are proposed.

ITEM 1. Amend subrule 650-27.10

**650—27.10(153) Retirement or discontinuance of practice.**

**27.10(1)** A licensee, ~~upon retirement, or upon discontinuation of the practice of dentistry, or upon leaving or moving from a community,~~ upon leaving or closing a practice, shall notify any active patient treated by the licensee in writing, or by publication. Notification by publication must be advertised once a week for three consecutive weeks in a newspaper of general circulation in the community. The licensee must inform patients that he/she ~~that the licensee~~ intends to discontinue the practice of dentistry in the community or specific practice location, and shall ~~encourage patients to seek the services of another licensee~~ inform patients of options for future care. The licensee shall make reasonable arrangements with active patients for the transfer of patient records, or copies thereof, to the succeeding licensee. “Active patient” means a person whom the licensee has examined, treated, cared for, or otherwise consulted with during the two-year period prior to retirement, discontinuation of the practice of dentistry, or leaving or moving from a ~~community~~ practice location.

**27.10(2)** Nothing herein provided shall prohibit a licensee from conveying or transferring the licensee’s patient records to another licensed dentist who is assuming a practice or remaining at the prior practice location, provided that written notice is furnished to all patients as hereinbefore specified.

## STATUTORY AND RULEMAKING CONSIDERATIONS FOR THE IOWA DENTAL BOARD

[Statutory](#)

[Continuing Education](#)

[Dental Assistants](#)

[Expanded Functions](#)

[Fees](#)

[License Processing](#)

*Updated 1/11/16*

**Possible Updates to Code**

Iowa Code Section 153.35 needs updated. The language is outdated and there is a lack of clarity over what #4 means.

**153.32 Unprofessional conduct.**

As to dentists and dental hygienists “*unprofessional conduct*” shall consist of any of the acts denominated as such elsewhere in **this chapter**, and also any other of the following acts:

1. Receiving any rebate, or other thing of value, directly or indirectly from any dental laboratory or dental technician.
2. Solicitation of professional patronage by agents or persons popularly known as “cappers” or “steerers”, or profiting by the acts of those representing themselves to be agents of the licensee.
3. Receipt of fees on the assurance that a manifestly incurable disease can be permanently cured.
4. Division of fees or agreeing to split or divide the fees received for professional services with any person for bringing or referring a patient or assisting in the care or treatment of a patient without the consent of said patient or the patient’s legal representative.
5. Willful neglect of a patient in a critical condition.

**ITEMS TO CONSIDER****RULE REFERENCE****ACCEPTABLE SUBJECT MATTERS**

A. The con ed committee recommends clarifying that communication with the patient/client and planning and implementation of dental public health projects and programs are acceptable con ed subject matters. 25.7a(3)

**CLEAN-UP**

- A. There is a typo in 25.3(4). It should read multiday convention-type meeting. 25.3  
B. Remove old dates 25.2(1) & 25.2 (2)  
C. Update the reference to dental specialty areas. There are 9 not 8. 25.3(7)

**DENTAL ASSISTANTS**

- A. The Con Ed Committee feels that DANB certification should be clearly listed as an acceptable activity for con ed for dental assistants – with the addition that it should only qualify five or more years after graduation or from date of exam eligibility (since not all assistants are graduates). 25.3  
B. The Dental Assistant Registration Committee feels that DANB certification exams are acceptable as continuing education for Dental Assistants, with no provision that it must be taken five years or more after graduation. 25.3  
C. Request from Dr. Higgenbothom to reduce con ed requirements for dental assistants in infection control and radiography 20.12

**REQUIREMENTS**

A. IDB does not require a signed con ed reporting form as part of renewal. 25.2(7)

**REINSTATEMENT**

A. Bring over language from Chapter 25 and add it to 14.6, to clearly state that practitioners who are reinstating, and who have met con ed requirements in their previous state of practice, are not required to submit evidence of those hours. 14.6 & 25.7 (2)

**ITEMS TO CONSIDER****RULE REFERENCE****DISCIPLINE**

A. Need to review rules citing disciplinary grounds for a dental assistant working without being registered or on trainee status.

**CLEAN UP**

A. There are inconsistencies with the way we use the term "inactive registration".  
Only lapsed registration are reinstated. Inactive registrations are reactivated.

15.3(8) & 25.8

**REINSTATEMENT**

A. The Dental Assistant Registration Committee recommends revising the reinstatement policy for dental assistants, which would limit the length of time a registrant could lapse and then qualify for reinstatement. The Committee recommends limiting a registration lapse to 2 renewal cycles (4 years). Once an assistant lapsed for that period of time, the individual would be required to start over on trainee status (regardless of whether or not the individual registered through the trainee path).

14.6

B. The Dental Assistant Registration Committee recommends reducing the cost of reinstatement; capping a registration and qualification at \$200, and a qualification only at \$100.

14.6 & 15.3 & 15.4 & 15.6

**ELIGIBILITY**

A. The Dental Assistant Registration Committee feels the Board should increase the number of years of experience needed, and/or defining “clinical practice” to be eligible to for expanded functions. 20.4(3)

**FUNCTIONS ALLOWED**

A. The Dental Assistant Registration Committee feels the term “adhesive” (level 1 #10) is not clear. They are also concerned as to whether or not this should be a level 2 procedure. 20.4

B. The Dental Assistant Registration Committee feels that recementing a temporary crown should be an added function to level 1. 20.4

**TRAINING**

A. Add language to expanded function rules regarding minimum requirements for expanded function training. Individuals submitting expanded functions courses for approval to the Board are asking staff for guidance on Board expectations regarding these courses. Currently, none are provided. There have been discussions about whether or not the expanded functions committee should have more formal, basic standards to follow for review of these courses. New

**ITEMS TO CONSIDER****RULE REFERENCE****CLEAN UP**

A. Fee rules regarding increasing/decreasing fees solely because the patient has insurance, and payments accepted under government funded programs, are confusing. Should we consider reviewing in attempts to clarify/cleanup? 27.7(3)(4)

B. Fee rules regarding drawing upon a line of credit prior to services being rendered are unclear and applied differently. Should we consider reviewing in attempts to clarify/cleanup? 27.7(9)(10)

C. Fees for electronic statements of charges, ifnal orders and consent agreements are now available online. The public can pull these documents on their own and an annual subscription fee of \$24 is no longer needed. 15.1

**ITEMS TO CONSIDER****RULE REFERENCE****PAPER APPLICATIONS**

A. Charge an extra fee to process paper applications (the Medical board has a rule which charges \$100 processing fee for a paper application) New

**ADDRESS ISSUES**

A. Specify the type of address a licensee must submit to the board. 10.6(1)

<u>Last Name</u>	<u>First Name</u>	<u>Rule/Subrule</u>	<u>Topic</u>	<u>Decision</u>	<u>Date of Ruling</u>
Spanos	Dana	11.6(2)	Licensure by Credentials	Approved	12/20/2001
Spanos	Dana	11.5(2)"d"	WREB acceptance date	DENIED	12/20/2001
Heithoff	Abby	20.6(1)"b"	Dental Assistant Trainee	Approved	11/18/2002
Bednar	Matthew	22.4	Dental Radiology Training	DENIED	8/21/2003
Drennen	Pamela	22.4(3)	Dental Radiology Training	DENIED	9/9/2003
Confidential	2004-1(L)	22.5	Radiography Examination	DENIED	1/16/2004
Chowdhury	Jyoti	11.4(1)	Foreign Graduate	Approved	6/17/2004
Confidential	2004-2(SB)	11.2(2)"e"	Dental Examination for Licensure	DENIED	8/27/2004
Vargas	Kaaren	11.4(1)	Foreign Graduate	DENIED	6/17/2004
Confidential	2005-1(KC)	12.4(3)	Dental Hygiene Remedial Education	Approved	10/28/2005
Ptacek	Renee	22.4(3)	Dental Radiology Training	DENIED	10/28/2005
Confidential	2006-1(FB)	11.3(2)"e"	Licensure by Credentials	DENIED	5/12/2006
Weeks	Marsha	20.6(1)"b"	Dental Assistant Trainee	Approved	7/13/2006
Jennings	Adrienne	11.3(2)"e"	Licensure by Credentials	DENIED	9/14/2006
Karunagaran	Saravana	11.4(1)	Foreign Graduate	Approved	10/24/2006
Vargas	Kaaren	11.4(1)	Foreign Graduate	Approved	10/24/2006
Mahajan	Shrirang	11.4(1)	Foreign Graduate	DENIED	1/18/2007
Perry	Blake	11.2(2)"e"	Licensure by Examination - Exam Date	Approved	9/4/2007
Vargas	Marco	11.4(1)	Foreign Graduate	Approved	9/4/2007
Uribe	Juan M.	11.4(1)	Foreign Graduate	Approved	1/10/2008
Rouman	Marco	11.4(1)	Foreign Graduate	DENIED	11/20/2008
Ceraso	Gregory	29.5(1)	Moderate Sedation Permit, Facility Requirements	Approved	5/8/2009
Lopez	Bobbie	20.6(1)"b"(2)	Dental Assistant Trainee	Approved	5/8/2009
Gomez	Manual	11.4(1)	Foreign Graduate	DENIED	7/24/2009
Murray	Edward B.	29.4(9)	Moderate Sedation of Pediatric Patients	Approved	10/6/2010
Bansal	Ritu	11.4(1)	Foreign Graduate	Approved	10/15/2010
Humbert	Lewis A.	29.4(9)	Moderate Sedation of Pediatric & Medically-Compromised Patients	Approved	10/27/2010
Townsend	James D.	29.4(9)	Moderate Sedation of Pediatric & Medically-Compromised Patients	Approved	10/27/2010
Reno	Catherine	29.6(1)"a-b"	Nitrous Oxide Training	Approved	3/2/2011
Bunker	Cassie	22.4(3)	Dental Radiology Training	Approved	3/2/2011
Iowa Dental Foundation		13.3(3)"b", (1-8)	Temporary Permits for Volunteer Services at IMOM Events	Partial Approval, Partial Denial	9/27/2011
Krook	Bruce	51.34(2)	If disciplined, 1 year waiting period prior to reinstatement	DENIED	3/2/2012
Fatah	Walid	11.4(1)	Foreign Graduate	Approved	3/2/2012
Oestervemb	Niels	11.4(1)	Foreign Graduate	Approved	7/12/2012
Scott	Kelly	22.4(3)	Dental Radiology Training	Approved	10/25/2012
Khan	Shiza	11.4(1)	Foreign Graduate	Approved	10/25/2012
Doughman	Heidi	20.15	Expanded Functions	Approved	10/25/2012
Austin	Desirea	20.15	Expanded Functions	Approved	10/25/2012
Jensen	Ryan	12.1	Licensure by Examination	DENIED	5/9/2013
Habib	Amr	11.4(1)	Foreign Graduate	Approved	5/9/2014
Hussong	Ryan	29.5(1)	Moderate Sedation Permit, Facility Requirements	Approved	7/31/2014
Meyer	Mackenzie	11.7(1)b	Local Anesthesia Permit Requirements	Approved	1/22/2015

<u>Last Name</u>	<u>First Name</u>	<u>Rule/Subrule</u>	<u>Topic</u>	<u>Decision</u>	<u>Date of Ruling</u>
Martin	Jessie	22.4(3)	Dental Radiology Training	DENIED	1/22/2015
Houston	Gina	11.7(1)b	Local Anesthesia Permit Requirements	Approved	7/23/2015
Pike	Rebecca	11.7(1)b	Local Anesthesia Permit Requirements	Approved	8/13/2015
Ruprecht	Axel	11.2(2)"d-e"	Licensure by Examination, Exam Type	Requested More Information	
Ceraso	Gregory	29.5(1)	Moderate Sedation Permit	Approved	10/22/2015
Mahrt	SuzAnne	10.4(153)	Ownership of a practice by an RDH	Pending Board Review	

**\*Last Updated 1/7/2016**

BEFORE THE IOWA DENTAL BOARD

---

Petition by Axel Ruprecht  
18 Cherry Lane NE  
Iowa City Iowa 52240  
(319)-621-5577 }

for the waiver of 650 IAC-11.2(2)d, e}      PETITION FOR WAIVER

relating to a waiver of the examination requirement license to practice Dentistry (limited to Oral and Maxillofacial Radiology)}

---

1. Petitioner's name, address, and telephone number. All communications concerning the petition can be directed to the address, phone, and e-mail address listed below.

Name: Axel Ruprecht  
Address: 18 Cherry Lane NE  
Iowa City IA 52240  
Work Telephone: (352)-294-5576 until December 18, 2015  
Home Phone: (319)-337-5044 not currently at this number  
Cell phone, if desired: (319)-621-5577  
Email: axel-ruprecht@uiowa.edu

2. I am requesting a waiver of 650 Iowa Administrative Code subrule 11.2(2)d.

3. I am requesting a waiver of 650 Iowa Administrative Code subrule 650 IAC-11.2(2)e, which requires documentation of passage of a regional clinical examination.

In lieu of above, I would like the board to accept the following: I am a graduate of the University of Toronto with a DDS (1968) and was subsequently licensed to practice Dentistry in Ontario (#6972) from 1968 to 1975. The license was based on passing a comprehensive set of examinations at the University of Toronto which covered 15 dental subjects on 5 examinations. I was a dental intern for one year at the Toronto General Hospital and Toronto Western Hospital 1968-1969. During this time I passed my National Dental Examining Board examination in 1968. I then entered the Oral Radiology program at the University of Toronto (1969-1972) and earned an MScD in December of 1972. During this time I passed the Part I Oral Radiology examination of the Royal College of Dentists of Canada in 1971. I then joined the faculty as Assistant Professor of Oral Radiology at the University of Western Ontario, in London Ontario, where I taught Radiology in the Faculty of Dentistry and Faculty of Medicine, and Dr. John Reid and I had a practice limited to Oral Radiology from 1972 to 1975. I was on staff as an oral radiologist at four London Hospitals, University Hospital, St. Joseph's Hospital, Victoria Hospital and Parkwood Hospital. In 1974 I passed the Fellowship examination of the American Academy of Oral and Maxillofacial Radiology (the forerunner of the American Board of Oral and Maxillofacial Radiology examination) and was awarded the FAAOMR. I also passed the

Part II Oral Radiology examination of the Royal College of Dentists of Canada in 1974 and was awarded the FRCD(C) in Oral Radiology in 1975. The Royal College examinations are the Canadian equivalent of Board examinations in the USA. There are sections for each of the recognized specialties, and thus my fellowship is Oral and Maxillofacial Radiology. In 1975 I moved to the University of Saskatchewan in Saskatoon, Saskatchewan, to become Associate Professor and Interim Chair (and then Chair) of the Department of Diagnosis and Oral Radiology. I was licensed to practice dentistry based upon my credentials (#1048), credentialed as an Oral Radiologist, and limited my practice to Oral Radiology. I practiced in Saskatchewan from 1975 to 1981. During this time I also took out my dental license and specialty certification in Alberta, and my specialty certification in Ontario (#470). In 1979 I was promoted to Professor. In 1981 I moved to Riyadh, Saudi Arabia to King Saud University as Professor of Oral and Maxillofacial Radiology from 1981-1986 and practiced during those 5 years. I was the only Oral and Maxillofacial Radiologist in Saudi Arabia for the first three years. In 1981 I became a Diplomate of the American Board of Oral and Maxillofacial Radiology. In 1986 I joined the University of Marburg as a radiologist for one year. While there I received a dental license from the Administrative Presidency in Giessen, Hessa, and a Certificate in Radiation Protection from the Bavarian Dental Board. In 1987 I moved to the University of Iowa where I spent 28 years as Professor and Director of Oral and Maxillofacial Radiology, and as Director of Advanced Education in Oral and Maxillofacial Radiology and received a faculty permit from the Iowa Dental Board to practice dentistry during that time, limiting my practice to Oral and Maxillofacial Radiology, and when Oral and Maxillofacial Radiology was recognized as the ninth dental specialty by the American Dental Association in 1999 I officially limited my practice to Oral and Maxillofacial Radiology in Iowa on the basis of my having become a Diplomate of the American Board of Oral and Maxillofacial Radiology (#42) in 1981. In August of 1991 I also became Professor of Radiology in the College of Medicine and was on clinical staff in Radiology, in the section of Neuroradiology at the University of Iowa Hospitals and Clinics. I have maintained a referral practice in Oral and Maxillofacial Radiology, first by myself, and then since about 2008 with Dr. V. Allareddy as Oral and Maxillofacial Radiology Consultants, based at The University of Iowa. From July 8, 2005 until June 30, 2015 I was Gilbert E. Lilly Professor of Diagnostic Sciences at The University of Iowa. I retired from The University of Iowa on July 1, 2015, but remain Emeritus Professor of Oral and Maxillofacial Radiology, of Radiology, and of Anatomy and Cell Biology. I was asked by the University of Florida to join the faculty for three months, September 18, 2015 to December 18, 2015 to teach and practice radiology. I was issued a teaching permit (#623) from the Florida State Board of Dentistry based upon my credentials and passing a jurisprudence examination. I am there now, but maintain my home in Iowa.

I should like to continue to practice Dentistry limited to Oral and Maxillofacial Radiology, as this will allow me to consult with dental practitioners in Iowa and continue to provide the service to the dental profession and public of Iowa that I have provided for the past 28 years.

---

(List specific training at accredited schools or other relevant information).

As stated above, I was enrolled in and graduated from the Oral Radiology program at the University of Toronto from September 1969 to December 1972.

(Below, list any additional relevant information)

I have been active in organized dentistry, mainly in Oral and Maxillofacial Radiology since entering the Toronto program.

I am a past president and still a member of the Canadian Academy of Oral and Maxillofacial Radiology, American Academy of Oral and Maxillofacial Radiology and American Board of Oral and Maxillofacial Radiology. I am also a member of the International Association of Dento Maxillo Facial Radiology, Iowa Radiological Society, American College of Radiology, and Radiological Society of North America, and a life member of the Iowa and American Dental Association and American Society of Head and Neck Radiology.

I have also been a consultant to the Iowa Dental Association in matters pertaining to radiology and radiation for many years, and was awarded the Outstanding Service Award in 2005 for this activity. I continue to be a consultant and have been asked to give an opinion to the IDA since coming to Florida.

4. Explain the relevant facts and reasons that the petitioner believes justify a waiver. Include in your answer all of the following:

- a. Undue Hardship. I feel that having essentially limited my practice to Oral and Maxillofacial Radiology for over 40 years, and inasmuch as I would do so if I receive a waiver of the examination requirement, it would not be or value for me to have to take an examination in general dentistry, which I would not be practicing. To require that I take a clinical examination in general dentistry which I have not practiced in 41 years, having limited myself to Oral and maxillofacial Radiology would require my restudying and relearning an area of dentistry that it is not my intention to practice as I would be limited to Oral and Maxillofacial Radiology. And having been examined in Oral and Maxillofacial Radiology by the American Academy and Board of Oral and Maxillofacial Radiology and the Royal College of Dentists of Canada (section on Oral and Maxillofacial Radiology) and been found competent to practice Oral and Maxillofacial Radiology, and having been selected in the past by the Royal College as an Examiner in Oral and Maxillofacial Radiology 1976-1977 and 1994 to the present, and Chief Examiner in Oral and Maxillofacial Radiology from 1994 to 1999, and elected by my peers as a director of the American Board of Oral and Maxillofacial Radiology from 1988 to 1992 (which means that I was an examiner for that time), and having had my credentials, which include clinical practice in Oral and Maxillofacial Radiology examined for each academic and hospital appointment and promotion since 1972, and for clinical credentialing and re-credentialing on a regular basis, my qualifications to practice Oral and Maxillofacial Radiology are well established.

I have also never had a malpractice or similar complaint brought against me in my entire career.

b. Why Waiving the Rule Would Not Prejudice the Substantial Legal Rights of Any Person.

Waiver of the rule would not prejudice the substantial legal rights of any person because there is no other Oral and Maxillofacial Radiologist in a similar position with my background. As such, at least as far as Oral and Maxillofacial Radiology is concerned I am in a unique position in Iowa. My being granted this waiver does not alter my relationship with the public or the dental profession. What I have done over the past 28 years in Iowa was done in such a way as to ensure the public health, safety and welfare. This would allow me to continue to provide the service in the same manner, with the public health, safety and welfare being always in the forefront, as would be expected of any practicing dental professional.

c. The Provisions of the Rule Subject to the Waiver are NOT Specifically Mandated by Statute or Another Provision of Law. Iowa Code Chapter 153 does not mandate the requirements of rule 650—11.2(2)d, e.

d. Substantially Equal Protection of the Public Health, Safety, and Welfare has been afforded by my previous 28 years of practice in Iowa, and my practice prior to this and subsequently in Florida.

The subrule that I am requesting a waiver from helps to ensure that I can continue to provide my specialized expertise to the dentists and physicians of Iowa and through them to their patients, the public of Iowa.

5. A history of prior contacts between the board and petitioner related to the regulated activity is as follows.

I have asked for clarification from the Board for my status as a dentist in Iowa when specialty recognition occurred. That is, when and how to officially limit my practice to Oral and Maxillofacial Radiology, once Oral and Maxillofacial Radiology was recognized as a dental specialty in 1999. I also asked the board for clarification with respect to the current protocol for requesting a waiver. I have never applied for any other waiver, and my only other interaction(s) related to my and The University of Iowa applying for my faculty Permit.

6. Information related to the board's action in similar cases: Inasmuch as I am the first Oral and Maxillofacial Radiologist to apply for this waiver, there are no similar cases. I am not applying as a foreign trained dentist because there is reciprocity between the accrediting commissions of

the USA and Canada, and as such, for all intents and purposes a Canadian and an American trained and educated dentist/oral and maxillofacial radiologist are considered as equivalent.

7. There is no other public agency or political subdivision that regulates dentistry in Iowa. Are there any public agencies or political subdivisions that would be affected by your request? If yes, please provide the name, address and other contact information below.  Yes  No

8. I am not aware of any person or entity that would be adversely affected by the granting of a waiver in this case.

9. Provide the name, address, and telephone number of any person with knowledge of the relevant facts relating to the proposed waiver, if any.

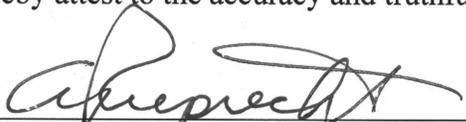
Dr. David C. Johnson,  
Dean of Dentistry.  
The University of Iowa-DSB N 308  
801 Newton Rd.  
Iowa City IA 52242-100  
319-335-7144

Dr. Galen Schneider,  
Executive Associate Dean of Dentistry.  
The University of Iowa-DSB N 308  
801 Newton Rd.  
Iowa City IA 52242-1001  
319-335-7146

Dr. Michael Kanellis,  
Associate Dean of Clinics  
College of Dentistry.  
The University of Iowa-DSB N 308  
801 Newton Rd.  
Iowa City IA 52242-1001  
319-335-7439  
All at

10. I hereby authorize the Board to obtain any information relating to this waiver request from the individuals named herein. I will provide signed releases of information if necessary.

I hereby attest to the accuracy and truthfulness of the above information.

  
\_\_\_\_\_  
Petitioner's signature

September 25, 2015  
Date

## Rule Reference for Axel Ruprecht Waiver Request

### 650—11.2 (147,153) Dental licensure by examination.

**11.2(1)** Applications for licensure to practice dentistry in this state shall be made on the form provided by the board and must be complete and include required credentials and documents.

**11.2(2)** Applications for licensure must be filed with the board along with:

*a. Documentation of graduation from dental college.* Satisfactory evidence of graduation with a DDS or DMD from an accredited dental college approved by the board or satisfactory evidence of meeting the requirements specified in rule 650—11.4(153).

*b. Certification of good standing from dean or designee.* Certification by the dean or other authorized representative of the dental school that the applicant has been a student in good standing while attending that dental school.

*c. Evidence of good standing in each state where licensed.* If the applicant is a dentist licensed by another jurisdiction, the applicant shall furnish evidence that the applicant is a licensed dentist in good standing in those states in which the applicant is licensed.

*d. Documentation of passage of national dental examination.* Evidence of successful completion of the examination administered by the Joint Commission on National Dental Examinations. Any dentist who has lawfully practiced dentistry in another state or territory for five years may be exempted from presenting this evidence.

*e. Documentation of passage of a regional clinical examination.*

(1) Successful passage of CRDTS. Evidence of having successfully completed in the last five years the examination administered by the Central Regional Dental Testing Service, Inc. (CRDTS).

(2) Special transition period for dentists passing WREB or ADEX examination prior to September 1, 2011. An applicant who has successfully taken and passed the WREB or ADEX examination within the five years prior to September 1, 2011, may apply for licensure by examination by submitting evidence of successful completion of the WREB or ADEX examination.

*f. Explanation of any legal or administrative actions.* A statement disclosing and explaining any disciplinary actions, investigations, complaints, malpractice claims, judgments, settlements, or criminal charges, including the results of a self-query of the National Practitioners Data Bank (NPDB) and the Healthcare Integrity and Protection Data Bank (HIPDB).

*g. Payment of application, fingerprint and background check fees.* The nonrefundable application fee, plus the fee for the evaluation of the fingerprint packet and the criminal history background checks by the Iowa division of criminal investigation (DCI) and the Federal Bureau of Investigation (FBI), as specified in 650—Chapter 15.

*h. Documentation of passage of jurisprudence examination.* Evidence of successful completion of the jurisprudence examination administered by the Iowa dental board.

*i. Current CPR certification. A statement:*

- (1) Confirming that the applicant possesses a valid certificate from a nationally recognized course in cardiopulmonary resuscitation (CPR) that included a “hands-on” clinical component;
- (2) Providing the expiration date of the CPR certificate; and
- (3) Acknowledging that the CPR certificate will be retained and made available to board office staff as part of routine auditing and monitoring.

*j. Completed fingerprint packet. A completed fingerprint packet to facilitate a criminal history background check by the DCI and FBI.*

**11.2(3)** The board may require a personal appearance or any additional information relating to the character, education and experience of the applicant.

**11.2(4)** Applications must be signed and verified as to the truth of the statements contained therein.

This rule is intended to implement Iowa Code sections 147.3, 147.29, and 147.34.

[**ARC 9218B**, IAB 11/3/10, effective 12/8/10; **ARC 9510B**, IAB 5/18/11, effective 6/22/11; **ARC 0265C**, IAB 8/8/12, effective 9/12/12]

## Braness, Christel [IDB]

---

**From:** Info <info@ndeb-bned.ca>  
**Sent:** Wednesday, January 13, 2016 10:31 AM  
**To:** Braness, Christel [IDB]  
**Subject:** RE: National Board Examination

Dear Ms. Braness,

In response to your email dated January 8, 2016, we have provided information regarding NDEB certification in 1968 below.

The 1968 NDEB By-laws indicate that:

All candidates for examination of qualification under the Board must possess the following qualifications:

- a) Hold a degree in dentistry from a school of dentistry approved by the Council on Education of the Canadian Dental Association at the time of graduation, such approval as of March 1948, or be certified by the Dean or registrar of a University as a bona fide senior year candidate of an approved dental school.
- b) Hold an enabling certificate from a Canadian dental licensing body and

Effective January 1<sup>st</sup>, 1965, examinations shall consist of six examinations as follows:

- a) Oral Diagnosis, Radiology and Treatment Planning.
- b) Operative Dentistry, including fixed partial dentures.
- c) Prosthodontics, including complete and partial removable dentures.
- d) Orthodontics and Preventive Dentistry, including all phases of Paedodontics and Public Health Dentistry.
- e) Oral Surgery, Anaesthesia and Endodontics.
- f) Oral Medicine, including Clinical Therapeutics, Pathology and Periodontics.

Candidates qualifying for NDEB certificates must obtain a minimum passing mark of 75% on each examination paper and, in addition, each candidate must obtain an average of 75% of the aggregate marks.

Kind regards,

**Leah Gunnell**

Administrator, Credential Verification & Program Services



**The National Dental  
Examining Board of Canada**

**Le Bureau national d'examen  
dentaire du Canada**

80 Elgin Street, 2<sup>nd</sup> Floor

Ottawa, ON K1P 6R2

Tel: 613-236-5912

[www.ndeb-bned.ca](http://www.ndeb-bned.ca)

---

**From:** Braness, Christel [IDB] [<mailto:Christel.Braness@iowa.gov>]

**Sent:** January-08-16 6:11 PM

**To:** Info

**Subject:** National Board Examination

**Importance:** High

The Iowa Dental Board is reviewing a request from someone who completed the written national board in Canada in 1968. Since the request specifically focuses on the examination(s), which he completed, I was wondering if it would be possible to get some information about the national examination. For example, what is the format of the examination, what information, in general, would have been covered in the examination and anything else which you think may be

helpful. The board needs to determine whether the examination offered in Canada would have been comparable to the examination offered in the United States. Any documentation, which you may have in this respect would be most helpful.

Thank you for your time.

Christel Braness, Program Planner

[Iowa Dental Board](#)

400 SW 8th St., Suite D

Des Moines, IA 50309

Phone: 515-242-6369 | Fax: 515-281-7969 | [IDB Online Services](#)

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## Braness, Christel [IDB]

---

**From:** Info <info@ndeb-bned.ca>  
**Sent:** Wednesday, January 13, 2016 10:50 AM  
**To:** Braness, Christel [IDB]  
**Subject:** RE: National Board Examination

Dear Ms. Braness,

They were six separate written examinations.

Kind regards,

**Leah Gunnell**

Administrator, Credential Verification & Program Services



80 Elgin Street, 2<sup>nd</sup> Floor  
Ottawa, ON K1P 6R2  
Tel: 613-236-5912  
[www.ndeb-bned.ca](http://www.ndeb-bned.ca)

---

**From:** Braness, Christel [IDB] [mailto:Christel.Braness@iowa.gov]  
**Sent:** January-13-16 11:36 AM  
**To:** Info  
**Subject:** RE: National Board Examination

I just had one last question, was this examination a written examination, or a patient-based, clinical examination? I just need to clarify that part.

Thank you again for your time.

Christel Braness, Program Planner

[Iowa Dental Board](#)

400 SW 8th St., Suite D

Des Moines, IA 50309

Phone: 515-242-6369 | Fax: 515-281-7969 | [IDB Online Services](#)

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---

**From:** Info [<mailto:info@ndeb-bned.ca>]  
**Sent:** Wednesday, January 13, 2016 10:31 AM  
**To:** Braness, Christel [IDB] <[Christel.Braness@iowa.gov](mailto:Christel.Braness@iowa.gov)>  
**Subject:** RE: National Board Examination

Dear Ms. Braness,

## Braness, Christel [IDB]

---

**From:** axel-ruprecht@mchsi.com  
**Sent:** Sunday, January 10, 2016 9:32 AM  
**To:** Braness, Christel [IDB]  
**Subject:** Re: Copy of certificate

The format of the examination was 6 examinations that, as I recall, were 150 multiple choice questions each covering various disciplines. I just got back from a site visit to another Dental College and am going to need a few days to get this done, if you need it from the NDEB.

Rgds  
Axel

----- Original Message -----

From: "Christel Braness [IDB]" <Christel.Braness@iowa.gov>  
To: "Axel Ruprecht" <axel-ruprecht@uiowa.edu>, axel-ruprecht@mchsi.com  
Sent: Friday, January 8, 2016 6:06:31 PM  
Subject: RE: Copy of certificate

After discussing your request with the director, it looks like we may also need information, if possible, about the format of the examination and the information covered within the examination. If available, this would allow the Board to determine whether the national board offered in Canada is comparable to the examination offered in the United States. The concern is that without this information the Board may not be able to make an informed decision.

Staff will be distributing Board materials next Thursday, January 15, 2016. If you are able to get this information to me prior to that time, it will be included in the mailing. Information received after that date may be distributed to the Board on the date of the meeting; though, it will allow less time for review of the documentation. I will include the information forwarded to date for their review.

Let me know if you have any questions.

Christel Braness, Program Planner  
Iowa Dental Board<<http://www.dentalboard.iowa.gov/>>  
400 SW 8th St., Suite D  
Des Moines, IA 50309  
Phone: 515-242-6369 | Fax: 515-281-7969 | IDB Online  
Services<<https://eservices.iowa.gov/PublicPortal/Iowa/IDB/common/index.jsp>>

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From: Braness, Christel [IDB]  
Sent: Friday, January 08, 2016 12:59 PM  
To: 'Ruprecht, Axel' <axel-ruprecht@uiowa.edu>; 'axel-ruprecht@mchsi.com' <axel-ruprecht@mchsi.com>  
Subject: RE: Copy of certificate

BEFORE THE IOWA DENTAL BOARD

---

Petition by SuzAnne Mahrt }  
for the waiver of 650 IAC 10.4(153) } PETITION FOR WAIVER  
relating to \_\_\_\_\_ }  
}

---

1. Petitioner's name, address, and telephone number. All communications concerning the petition can be directed to the address, phone, and e-mail address listed below.

Name: SuzAnne Mahrt

Address: 422 W. 1<sup>st</sup> Street, Kingsley, IA 51028

Work Telephone: 712-873-3525

Home Phone: 712-378-3205

Cell phone, if desired: 712-251-4772

Email: \_\_\_\_\_

2. I am requesting a waiver of 650 Iowa Administrative Code subrule 10.4(153).

3. I am requesting a waiver of 650 Iowa Administrative Code subrule 10.4(153), which requires a dental hygienist to be supervised by a licensed dentist and not to engage in the practice of dentistry.

In lieu of this rule, I would like the board to accept the following: Allow SuzAnne Mahrt to be part owner of a dental practice and to employ a licensed dentist to work for her company.

---

(List specific training at accredited schools or other relevant information).

(Below, list any additional relevant information)

In addition, I would also be employed by the company and supervised by a licensed dentist, Dr. Thomas Clark. Dr. Clark would continue to operate the practice as he is currently doing.

---

4. Explain the relevant facts and reasons that the petitioner believes justify a waiver. Include in your answer all of the following:

a. Undue Hardship. See Attached

---

(Insert any other information to justify undue hardship)

b. Why Waiving the Rule Would Not Prejudice the Substantial Legal Rights of Any Person.

Waiver of the rule would not prejudice the substantial legal rights of any person because: See Attached

---

This ensures and protects public health, safety, and welfare.

c. The Provisions of the Rule Subject to the Waiver are NOT Specifically Mandated by Statute or Another Provision of Law. Iowa Code Chapter 153 does not mandate the requirements of rule 650-10.4.

d. Substantially Equal Protection of the Public Health, Safety, and Welfare has been Afforded by: See Attached.

---

The subrule that I am requesting a waiver from helps to ensure that a dental hygienist does not engage in the practice of dentistry.

---

5. A history of prior contacts between the board and petitioner related to the regulated activity is as follows.

My attorney contacted the board in late December, 2015 to discuss the business arrangement between myself and Dr. Thomas Clark. He was advised to have me file this Petition for Waiver.

6. Information related to the board's action in similar cases: None to Petitioner's Knowledge.

7. There is no other public agency or political subdivision that regulates dentistry in Iowa. Are there any public agencies or political subdivisions that would be affected by your request? If yes, please provide the name, address and other contact information below.  Yes  No

8. I am not aware of any person or entity that would be adversely affected by the granting of a waiver in this case.

9. Provide the name, address, and telephone number of any person with knowledge of the relevant facts relating to the proposed waiver, if any.

Dr. Thomas J. Clark  
795 Fairview  
Merville, IA 51039  
712-873-3575

10. I hereby authorize the Board to obtain any information relating to this waiver request from the individuals named herein. I will provide signed releases of information if necessary.

I hereby attest to the accuracy and truthfulness of the above information.

  
Petitioner's signature

1-6-2016  
Date

SuzAnne Mahrt and her husband, Timothy Mahrt, formed the company Movable Family Dentistry, LLC with the purpose of purchasing the dental practice of Dr. Thomas Clark. SuzAnne currently works for Dr. Thomas Clark as a dental hygienist. The proposed arrangement would have the new company purchasing the dental practice, with Dr. Thomas Clark being employed as independent contractor and/or employee with the new company to continue with his current duties as a licensed dentist. SuzAnne would also continue to be employed by the new company as a dental hygienist and continue to work under the supervision of Dr. Clark.

If the waiver is not granted, SuzAnne Mahrt would be required to resign from her position as dental hygienist resulting in undue hardship.

Waiving this rule would not prejudice the substantial legal rights of any person because by allowing SuzAnne Mahrt to own a dental practice does not effect the substantial legal rights of any person.

The public's health, safety and welfare would be substantially protected in an equal manner because Dr. Clark would continue to be employed as a licensed dentist and perform all those duties required of him and SuzAnne Mahrt would continue to be a dental hygienist practicing under the supervision of Dr. Clark.

**Rule Reference for SuzAnne Mahrt Waiver Request**

**650—10.4 (153) Unauthorized practice of a dental hygienist.** A dental hygienist who assists a dentist in practicing dentistry in any capacity other than as an employee or independent contractor supervised by a licensed dentist or who directly or indirectly procures a licensed dentist to act as nominal owner, proprietor, director, or supervisor of a practice as a guise or subterfuge to enable such dental hygienist to engage in the practice of dentistry or dental hygiene or who renders dental service(s) directly or indirectly on or for members of the public other than as an employee or independent contractor supervised by a licensed dentist shall be deemed to be practicing illegally.

**10.4(1)** The unauthorized practice of dental hygiene means allowing a person not licensed in dentistry or dental hygiene to perform dental hygiene services authorized in Iowa Code section 153.15 and rule 650—10.3(153).

**10.4(2)** The unauthorized practice of dental hygiene also means the performance of services by a dental hygienist that exceeds the scope of practice granted in Iowa Code section 153.15.

**10.4(3)** A dental hygienist shall not practice independent from the supervision of a dentist nor shall a dental hygienist establish or maintain an office or other workplace separate or independent from the office or other workplace in which the supervision of a dentist is provided.

This rule is intended to implement Iowa Code sections 147.10, 147.57 and 153.15.

## **Background Information on Legislation to Allow Dental Hygienists to Provide Education or Oral Screening Services without being supervised by a Dentist**

- Dental Hygienists in Iowa can perform four types of oral health tasks under Iowa law:
  - Educational—training of public and other health care professionals on good oral health practices
  - Therapeutic—removal of plaque and calculus from teeth
  - Preventative—applying sealants to prevent cavities
  - Diagnostic—providing oral inspection of teeth
  - See Iowa Code Section 153.15, IARC 650-10.3(153)
  
- Iowa law requires a dental hygienist to perform these services under the supervision of a dentist
  - Three types of supervision allowed in Iowa
    - Direct Supervision—Dentist must be on-site
    - General Supervision, Dentist does not have to be on-site, but is aware of work being done by dental hygienist
    - Public Health Supervision—Dentist develops formal agreement with hygienist allowing hygienist to see a person before person has seen dentist and perform functions within the dental hygienist’s scope of practice
  
- Hygienists perform some functions in which supervision requirement doesn’t make sense
  - Educational services—hygienist is teaching proper oral health techniques, not performing any procedures
  - Oral screenings—hygienist is performing minimally invasive procedure (shining light in mouth of patient, looking to see if any issues exist)
  
- Supervision requirement is a burden on hygienist who wishes to continue providing services to the community, but is no longer affiliated with a supervising dentist
- Proposed legislation would eliminate supervision requirement for these two items.
  - No change in scope of practice, just in supervision level.
- For questions, contact Tom Cope at 515-975-4590.

**Proposed Change in Iowa law regarding supervision of Educational and Oral Screening Services**

1. Amend 153.15 to read, “Such services, with the exception of educational services and oral screening services, shall be performed under supervision of a licensed dentist. . . .”
  
2. Add to Iowa Code Chapter 153: Educational services is defined as assessing the need for planning, implementing, and evaluating oral health education programs for individual patients and community groups; conducting workshops and in-service training sessions on dental health for nurses, school personnel, institutional staff, community groups and other agencies providing consultation and technical assistance for promotional, preventative and educational services. (Note: identical to 650-10.3(1)(a))
  
3. Add to Iowa Code Chapter 153: Oral Screening services means any of the following, with a requirement that the person receiving the services be referred to a dentist for a comprehensive exam, diagnosis, and treatment plan:
  1. Reviewing medical and dental health histories
  2. Performing oral inspection